

Adaptive decoding for dense and sparse evaluation/interpolation codes

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joint work with

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Outline

Introduction

- High performance exact computations
- Chinese remaindering
- Motivation

Sparse Interpolation with errors

- Berlekamp/Massey algorithm with errors
- Sparse Polynomial Interpolation with errors
- Relations to Reed-Solomon decoding

Dense interpolation with errors

- Decoding CRT codes: Mandelbaum algorithm
- Amplitude codes
- Adaptive decoding
- Experiments

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Amplitude codes

Adaptive decoding

Experiments

High Performance Algebraic Computations (HPAC)

Domain of Computation

- ▶ \mathbb{Z}, \mathbb{Q} \Rightarrow variable size
- ▶ $\mathbb{Z}_p, \text{GF}(p^k)$ \Rightarrow specific arithmetic
- ▶ $K[X]$ for $K = \mathbb{Z}_p, \dots$

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Application domains:

Computational number theory:

- ▶ computing tables of elliptic curves, modular forms,
- ▶ testing conjectures

Crypto: Algebraic attacks (Quadratic sieves, Groebner bases, index calculus,...)

Graph theory: testing conjectures (graph isomorphism,...)

Representation theory

...

HPAC: rules of thumb

Deal with size of arithmetic

Evaluation/interpolation schemes:

over \mathbb{Z} : Chinese Remainder Algorithm:

$$\mathbb{Z} \rightarrow \mathbb{Z}/m\mathbb{Z} \rightarrow \mathbb{Z}/m_1\mathbb{Z} \times \cdots \times \mathbb{Z}/m_k\mathbb{Z}$$

over $K[X]$: Evaluation/interpolation: $K[X] \rightarrow K$

- ▶ Embarassingly parallel

Lifting schemes $\mathbb{Z} \rightarrow \mathbb{Z}/p^k\mathbb{Z} \rightarrow \mathbb{Z}/p\mathbb{Z}$

- ▶ Best sequential complexities

Deal with complexity/efficiency: reduce to Linear algebra

- ▶ Matrix product over \mathbb{Z}_p, K
- ▶ Eliminations: Gauss, Gram-Schmidt (LLL), ...
- ▶ Krylov iteration

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Chinese remainder algorithm

If m_1, \dots, m_k pairwise relatively prime:

$$\mathbb{Z}/(m_1 \dots m_k)\mathbb{Z} \equiv \mathbb{Z}/m_1\mathbb{Z} \times \dots \times \mathbb{Z}/m_k\mathbb{Z}$$

Computation of $y = f(x)$ for $f \in \mathbb{Z}[X], x \in \mathbb{Z}^m$

begin

 Compute an upper bound β on $|f(x)|$;

 Pick m_1, \dots, m_k , pairwise prime, s.t. $m_1 \dots m_k > \beta$;

for $i = 1 \dots k$ **do**

 Compute $y_i = f(x \bmod m_i) \bmod m_i$

 Compute $y = \text{CRT}(y_1, \dots, y_k)$

$$\begin{aligned} \text{CRT} : \mathbb{Z}/m_1\mathbb{Z} \times \dots \times \mathbb{Z}/m_k\mathbb{Z} &\rightarrow \mathbb{Z}/(m_1 \dots m_k)\mathbb{Z} \\ (x_1, \dots, x_k) &\mapsto \sum_{i=1}^k x_i \Pi_i Y_i \bmod \Pi \end{aligned}$$

$$\text{where } \begin{cases} \Pi &= \prod_{i=1}^k m_i \\ \Pi_i &= \Pi/m_i \\ Y_i &= \Pi_i^{-1} \bmod m_i \end{cases}$$

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for $i = 1 \dots k$ **do**

 Compute $y_i = f(x \bmod m_i) \bmod m_i$; /* Evaluation */

 Compute $y = \text{CRT}(y_1, \dots, y_k)$; /* Interpolation */

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Chinese remaindering and evaluation/interpolation

Evaluate P in a

\leftrightarrow

Reduce P modulo $X - a$

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Polynomials

Evaluation:

$P \bmod X - a$

Evaluate P in a

Interpolation:

$$P = \sum_{i=1}^k y_i \frac{\prod_{j \neq i} (X - a_j)}{\prod_{j \neq i} (a_i - a_j)}$$

Chinese remaindering and evaluation/interpolation

Evaluate P in a

\leftrightarrow

Reduce P modulo $X - a$

Polynomials	Integers
Evaluation: $P \bmod X - a$ Evaluate P in a	$N \bmod m$ “Evaluate” N in m
Interpolation: $P = \sum_{i=1}^k y_i \frac{\prod_{j \neq i} (X - a_j)}{\prod_{j \neq i} (a_i - a_j)}$	$N = \sum_{i=1}^k y_i \prod_{j \neq i} m_j (\prod_{j \neq i} m_j)^{-1} [m_i]$

Early termination

Classic Chinese remaindering

Deterministic

- ▶ bound β on the result
- ▶ Choice of the m_i : such that $m_1 \dots m_k > \beta$

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Early termination

Probabilistic Monte Carlo

- ▶ For each new modulo m_i :
 - ▶ reconstruct $y_i = f(x) \bmod m_1 \times \dots \times m_i$
 - ▶ If $y_i == y_{i-1} \Rightarrow$ terminated

Advantage:

- ▶ Adaptive number of moduli depending on the output value
- ▶ Interesting when
 - ▶ pessimistic bound: sparse/structured matrices, ...
 - ▶ no bound available

Motivation

ABFT: Algorithm Based Fault Tolerance

HPC: clusters, grid, P2P, cloud computing

- ▶ Parallelization based on Evaluation/Interpolation scheme

Need to tolerate:

- ▶ soft errors (cosmic rays,...)
- ▶ malicious corruption

Signal processing

- ▶ Sparse polynomial interpolation

Distinction between **noise** and **outliers**

- ▶ Symbolic-numeric methods

Dense/Sparse interpolation with errors

Problem 1: Dense interpolation with errors over \mathbb{Z}

Given (y_i, m_i) for $i = 1 \dots n$,

Find $Y \in \mathbb{Z}$ such that $Y = y_i \pmod{m_i}$ except on $\leq e$ values.

Problem 2: Sparse interpolation with errors over $K[X]$

Given (y_i, x_i) for $i = 1 \dots n$,

Find a t -sparse poly. f such that $f(x_i) = y_i$ except on $\leq e$ values.

State of the art

Dense interpolation

	Interpolation	Interpolation with errors
over $K[X]$	Lagrange	Generalized Reed-Solomon codes
over \mathbb{Z}	CRT	CRT codes

Sparse Interpolation

	Interpolation	Interpolation with errors
over $K[X]$	Ben-Or & Tiwari	?
over \mathbb{Z}	?	?

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Contribution

Sparse interpolation code over $K[X]$

- ▶ lower bound on the necessary number of evaluations
- ▶ optimal unique decoding algorithm
- ▶ list decoding variant

Dense interpolation code over \mathbb{Z}

- ▶ finer bounds on the correction capacity
- ▶ adaptive decoding using the best effective redundancy

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Preliminaries

Linear recurring sequences

Sequence $(a_0, a_1, \dots, a_n, \dots)$ such that

$$\forall j \geq 0 \quad a_{j+t} = \sum_{i=0}^{t-1} \lambda_i a_{i+j}$$

generating polynomial: $\Lambda(z) = z^t - \sum_{i=0}^{t-1} \lambda_i z^i$

minimal generating polynomial: $\Lambda(z)$ of minimal degree

linear complexity of $(a_i)_i$: the minimal degree of Λ

Hamming weight: $\text{weight}(x) = \#\{i | x_i \neq 0\}$

Hamming distance: $d_H(x, y) = \text{weight}(x - y)$

Berlekamp/Massey algorithm

Input: (a_0, \dots, a_{n-1}) a sequence of field elements.

Output: $\Lambda(z) = \sum_{i=0}^{L_n} \lambda_i z^i$ a monic polynomial of minimal degree

$$L_n \leq n \text{ such that } \sum_{i=0}^{L_n} \lambda_i a_{i+j} = 0 \text{ for } j = 0, \dots, n - L_n - 1.$$

- ▶ Guarantee : BMA finds Λ of **degree t** from **$\leq 2t$ entries**.

Problem Statement

Berlkamp/Massey with errors

Suppose (a_0, a_1, \dots) is linearly generated by $\Lambda(z)$ of degree t where $\Lambda(0) \neq 0$.

Given $(b_0, b_1, \dots) = (a_0, a_1, \dots) + \varepsilon$, where $\text{weight}(\varepsilon) \leq E$:

1. How to recover $\Lambda(z)$ and (a_0, a_1, \dots) ?
2. How many entries required for
 - ▶ a unique solution ?
 - ▶ a list of solutions including (a_0, a_1, \dots) ?

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Coding Theory formulation

Let \mathcal{C} be the set of all sequences of linear complexity t .

1. How to decode \mathcal{C} ?
2. What are the best correction capacities ?
 - ▶ for unique decoding
 - ▶ list decoding

How many entries to guarantee uniqueness?

Case $E = 1, t = 2$

$$(0, 1, 0, 1, 0, \overset{(a_i)}{1}, 0, -1, 0, 1, 0) \left| \begin{array}{l} \Lambda(z) \\ 2 - 2z^2 + z^4 + z^6 \end{array} \right.$$

Where is the error?

How many entries to guarantee uniqueness?

Case $E = 1, t = 2$

$$\begin{array}{cccccccccc} & & & & & (a_i) & & & & & \\ (0, & 1, & 0, & 1, & 0, & 1, & 0, & -1, & 0, & 1, & 0) & \left| \begin{array}{l} \Lambda(z) \\ 2 - 2z^2 + z^4 + z^6 \end{array} \right. \\ (0, & 1, & 0, & 1, & 0, & 1, & 0, & \mathbf{1}, & 0, & 1, & 0) & \left| \begin{array}{l} \\ -1 + z^2 \end{array} \right. \end{array}$$

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Where is the error?

A unique solution is **not** guaranteed with $t = 2, E = 1$ and $n = 11$

Generalization to any $E \geq 1$

Let $\bar{0} = (\overbrace{0, \dots, 0}^{t-1 \text{ times}})$. Then

$$s = (\bar{0}, 1, \bar{0}, 1, \bar{0}, 1, \bar{0}, -1)$$

is generated by $z^t - 1$ or $z^t + 1$ up to $E = 1$ error.
Then

$$(\overbrace{s, s, \dots, s}^{E \text{ times}}, \bar{0}, 1, \bar{0})$$

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 \Rightarrow ambiguity with $n = 2t(2E + 1) - 1$ values.

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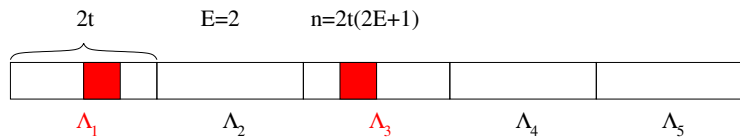
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Theorem

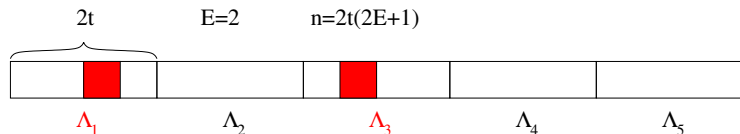
Necessary condition for unique decoding:

$$n \geq 2t(2E + 1)$$

The Majority Rule Berlekamp/Massey algorithm



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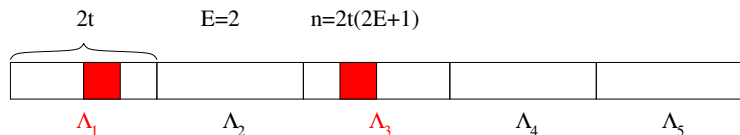
Input: $(a_0, \dots, a_{n-1}) + \varepsilon$, where $n = 2t(2E + 1)$, $weight(\varepsilon) \leq E$,
and (a_0, \dots, a_{n-1}) minimally generated by Λ of degree t ,
where $\Lambda(0) \neq 0$.

Output: $\Lambda(z)$ and (a_0, \dots, a_{n-1}) .

begin

Run BMA on $2E + 1$ segments of $2t$ entries and record $\Lambda_i(z)$
on each segment;
Perform **majority vote** to find $\Lambda(z)$;

The Majority Rule Berlekamp/Massey algorithm



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begin

Run BMA on $2E + 1$ segments of $2t$ entries and record $\Lambda_i(z)$
on each segment;

Perform **majority vote** to find $\Lambda(z)$;

Use a *clean* segment to **clean-up** the sequence ;

return $\Lambda(z)$ and (a_0, a_1, \dots) ;

Algorithm SequenceCleanUp

Input: $\Lambda(z) = z^t + \sum_{i=0}^{t-1} \lambda_i z^i$ where $\Lambda(0) \neq 0$

Input: (a_0, \dots, a_{n-1}) , where $n \geq t + 1$

Input: E , the maximum number of corrections to make

Input: k , such that (a_k, a_{k+2t-1}) is clean

Output: (b_0, \dots, b_{n-1}) generated by Λ at distance $\leq E$ to
 (a_0, \dots, a_{n-1})

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begin

$(b_0, \dots, b_{n-1}) \leftarrow (a_0, \dots, a_{n-1}); e, j \leftarrow 0;$

$i \leftarrow k + 2t;$

while $i \leq n - 1$ and $e \leq E$ **do**

if Λ does not satisfy (b_{i-t+1}, \dots, b_i) **then**

 Fix b_i using $\Lambda(z)$ as a LFSR; $e \leftarrow e + 1;$

return $(b_0, \dots, b_{n-1}), e$

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while $i \geq 0$ and $e \leq E$ **do**

if Λ does not satisfy (b_i, \dots, b_{i+t-1}) **then**

 Fix b_i using $z^t \Lambda(1/z)$ as a LFSR; $e \leftarrow e + 1;$

return $(b_0, \dots, b_{n-1}), e$

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 Fix b_i using $z^t \Lambda(1/z)$ as a LFSR; $e \leftarrow e + 1;$

return $(b_0, \dots, b_{n-1}), e$

Finding a clean segment: case $E = 1$

⇒ only one error

$$(a_0, \dots, a_{k-2}, b_{k-1} \neq a_{k-1}, a_k, a_{k+1}, a_{2t-1})$$

will be identified by the majority vote (2-to-1 majority).

Finding a clean segment: case $E \geq 2$

Multiple errors on one segment can still be generated by $\Lambda(z)$
 \Rightarrow **deceptive segments**: not good for SequenceCleanUp

Example

$$E = 3: (0, 1, 0, 2, 0, 4, 0, 8, \dots) \Rightarrow \Lambda(z) = z^2 - 2$$

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$(\mathbf{1}, 1, \mathbf{2}, 2, \mathbf{4}, 4, 0, 8, 0, 16, 0, 32, \dots)$

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$$\left(\underbrace{1, 1, 2, 2}_{z^2-2}, \underbrace{4, 4, 0, 8, 0, 16, 0, 32, \dots}_{z^2+2z-2} \right)$$

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$$\left(\underbrace{1, 1, 2, 2}_{z^2-2}, \underbrace{4, 4, 0, 8}_{z^2+2z-2}, \underbrace{0, 16, 0, 32}_{z^2-2}, \dots \right)$$

$(1, 1, 2, 2)$ is deceptive. Applying SequenceCleanUp with this clean segment produces

$$(1, 1, 2, 2, 4, 4, 8, 8, 16, 16, 32, 32, 64, \dots)$$

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$E > 3$? contradiction. Try $(0, 16, 0, 32)$ as a clean segment instead.

Success of the sequence clean-up

Theorem

If $n \geq t(2E + 1)$, then a deceptive segment will necessarily be exposed by a failure of the condition $e \leq E$ in algorithm `SequenceCleanUp`.

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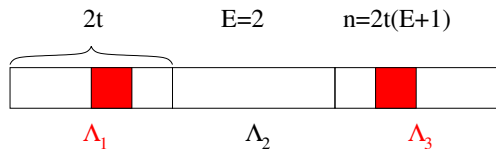
Corollary

*$n \geq 2t(2E + 1)$ is a necessary and sufficient condition for **unique** decoding of Λ and the corresponding sequence.*

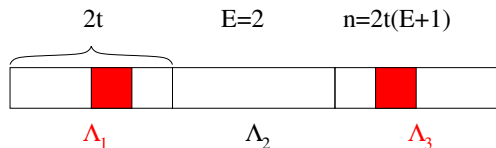
Remark

Also works with an upper bound $t \leq T$ on $\deg \Lambda$.

List decoding for $n \geq 2t(E + 1)$



List decoding for $n \geq 2t(E + 1)$

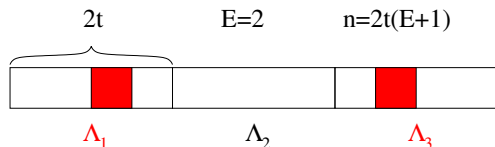


Input: $(a_0, \dots, a_{n-1}) + \varepsilon$, where $n = 2t(E + 1)$, $\text{weight}(\varepsilon) \leq E$,
and (a_0, \dots, a_{n-1}) minimally generated by Λ of degree t ,
where $\Lambda(0) \neq 0$.

Output: $(\Lambda_i(z), s_i = (a_0^{(i)}, \dots, a_{n-1}^{(i)}))_i$ a list of $\leq E$ candidates
begin

Run BMA on $E + 1$ segments of $2t$ entries and record $\Lambda_i(z)$
on each segment;

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begin

Run BMA on $E + 1$ segments of $2t$ entries and record $\Lambda_i(z)$ on each segment;

foreach $\Lambda_i(z)$ **do**

Use a *clean* segment to *clean-up* the sequence;

Withdraw Λ_i if no clean segment can be found.

return the list $(\Lambda_i(z), (a_0^{(i)}, \dots, a_{n-1}^{(i)}))_i$;

Properties

- ▶ The list contains the right solution $(\Lambda, (a_0, \dots, a_{n-1}))$

Properties

- ▶ The list contains the right solution $(\Lambda, (a_0, \dots, a_{n-1}))$
- ▶ $n \geq 2t(E + 1)$ is the tightest bound to ensure to enable syndrome decoding (BMA on a clean sequence of length $2t$).

Example

$$n = 2t(E + 1) - 1 \text{ and } \varepsilon = (\underbrace{0, \dots, 0}_{2t-1}, 1, \underbrace{0, \dots, 0}_{2t-1}, 1, \dots, 1, \underbrace{0, \dots, 0}_{2t-1}).$$

Then $(a_0, \dots, a_{n-1}) + \varepsilon$ has no length $2t$ clean segment.

Sparse Polynomial Interpolation



$$f = \sum_{i=1}^t c_i x^{e_i}$$

Problem

Recover a t -sparse polynomial f given a black-box computing evaluations of it.

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Ben-Or/Tiwari 1988:

- ▶ Let $a_i = f(p^i)$ for p a primitive element,
- ▶ and let $\Lambda(z) = \prod_{i=1}^t (z - p^{e_i})$.
- ▶ Then $\Lambda(z)$ is the minimal generator of (a_0, a_1, \dots) .

\Rightarrow only need $2t$ entries to find $\Lambda(z)$ (using BMA)

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\Rightarrow only need $2T(2E + 1)$ with $e \leq E$ errors and $t \leq T$.

Ben-Or & Tiwari's Algorithm

Input: (a_0, \dots, a_{2t-1}) where $a_i = f(p^i)$

Input: t , the number of (non-zero) terms of $f(x) = \sum_{j=1}^t c_j x^{e_j}$

Output: $f(x)$

begin

Run BMA on (a_0, \dots, a_{2t-1}) to find $\Lambda(z)$

Find roots of $\Lambda(z)$ (polynomial factorization)

Recover e_j by repeated division (by p)

Recover c_j by solving the transposed Vandermonde system

$$\begin{bmatrix} (p^0)^{e_1} & (p^0)^{e_2} & \dots & (p^0)^{e_t} \\ (p^1)^{e_1} & (p^1)^{e_2} & \dots & (p^1)^{e_t} \\ \vdots & \vdots & & \vdots \\ (p^t)^{e_1} & (p^t)^{e_2} & \dots & (p^t)^{e_t} \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_t \end{bmatrix} = \begin{bmatrix} a_0 \\ a_1 \\ \vdots \\ a_{t-1} \end{bmatrix}$$

Blahut's theorem

Theorem (Blahut)

The D.F.T of a vector of weight t has linear complexity at most t

$$\text{DFT}_{\omega}(v) = \text{Vandemonde}(\omega^0, \omega^1, \omega^2, \dots)v = \text{Eval}_{\omega^0, \omega^1, \omega^2, \dots}(v)$$

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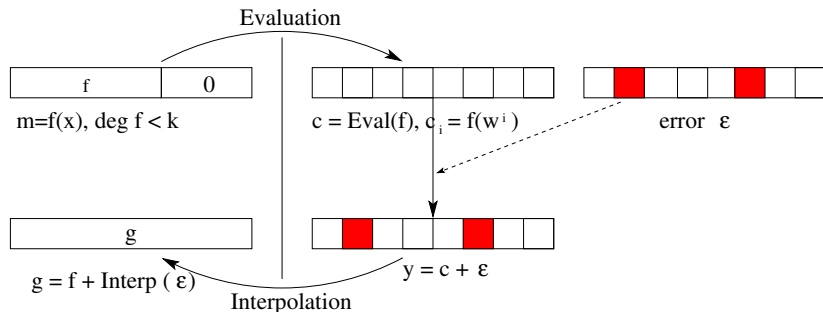
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- ▶ Univariate Ben-Or & Tiwari as a corollary
- ▶ Reed-Solomon codes: evaluation of a sparse error
⇒ BMA

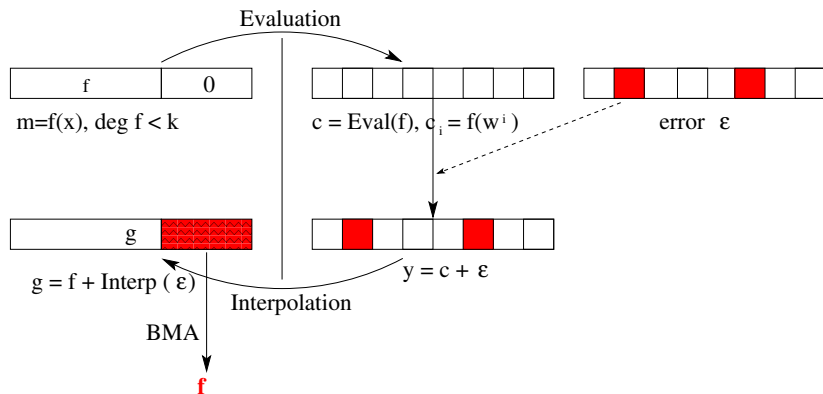
Reed-Solomon codes as Evaluation codes

$$\mathcal{C} = \{(f(\omega^1), \dots, f(\omega^n)) \mid \deg f < k\}$$



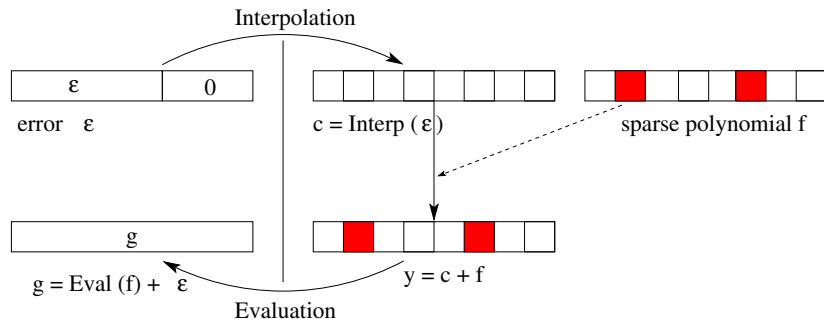
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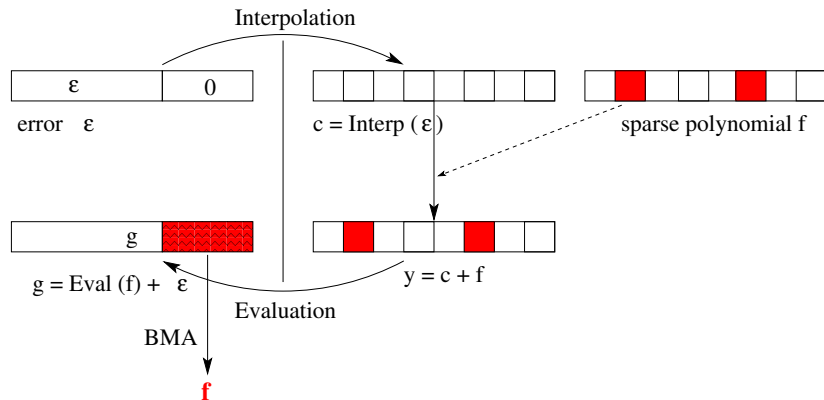
Sparse interpolation with errors

Find f from $(f(w^1), \dots, f(w^n)) + \varepsilon$



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Same problems?

Interchanging **Evaluation** and **Interpolation**

Let $V_\omega = \text{Vandermonde}(\omega, \omega^2, \dots, \omega^n)$. Then $(V_\omega)^{-1} = \frac{1}{n} V_{\omega^{-1}}$

Given g , find f , t -sparse and an error ε such that

$$\begin{aligned}g &= V_\omega f + \varepsilon \\ V_{\omega^{-1}} g &= n f + V_{\omega^{-1}} \varepsilon\end{aligned}$$

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\Leftrightarrow clean segment of length $2t$

$\Leftrightarrow n \geq 2t(E + 1)$

BUT: location of the syndrome, is a priori unknown
 \Rightarrow no uniqueness

Numeric Sparse Interpolation

- ▶ numerical evaluations (with noise) of a sparse polynomial
- ▶ and **outliers**

Symbolic numeric approach [Giesbrecht, Labahn & Lee'06] [Kaltofen, Lee, Yang'11]:

- ▶ Interpolation/correction using Berlekamp-Massey
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- ▶ Better: track two perturbed executions
⇒ divergence = termination

Outline

Introduction

- High performance exact computations
- Chinese remaindering
- Motivation

Sparse Interpolation with errors

- Berlekamp/Massey algorithm with errors
- Sparse Polynomial Interpolation with errors
- Relations to Reed-Solomon decoding

Dense interpolation with errors

- Decoding CRT codes: Mandelbaum algorithm
- Amplitude codes
- Adaptive decoding
- Experiments

CRT codes : Mandelbaum algorithm over \mathbb{Z}

Chinese Remainder Theorem

$x \in \mathbb{Z}$



x_1	x_2	\dots	x_k
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where $m_1 \times \dots \times m_k > x$ and $x_i = x \pmod{m_i} \forall i$

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Chinese Remainder Theorem

$$x \in \mathbb{Z} \quad \longleftrightarrow \quad \begin{array}{|c|c|c|c|c|c|c|} \hline x_1 & x_2 & \dots & x_k & x_{k+1} & \dots & x_n \\ \hline \end{array}$$

where $m_1 \times \dots \times m_n > x$ and $x_i = x \pmod{m_i} \forall i$

Definition

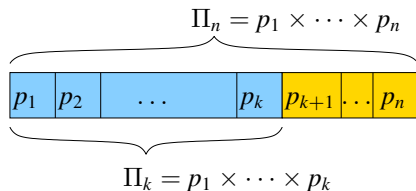
(n, k) -code: $C =$

$$\left\{ (x_1, \dots, x_n) \in \mathbb{Z}_{m_1} \times \dots \times \mathbb{Z}_{m_n} \text{ s.t. } \exists x, \left\{ \begin{array}{l} x < m_1 \dots m_k \\ x_i = x \pmod{m_i} \forall i \end{array} \right\} \right\}$$

Principle

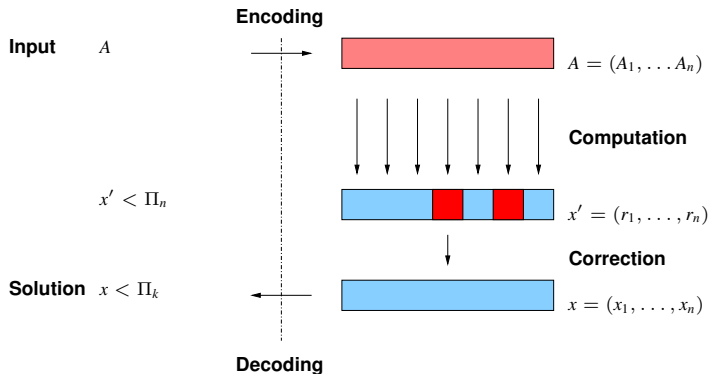
Property

$X \in C$ iff $X < \Pi_k$.



Redundancy : $r = n - k$

ABFT with Chinese remainder algorithm



Properties of the code

Error model:

- ▶ Error: $E = X' - X$
- ▶ Error support: $I = \{i \in 1 \dots n, E \neq 0 \pmod{m_i}\}$
- ▶ Impact of the error: $\Pi_F = \prod_{i \in I} m_i$

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Detects up to r errors:

If $X' = X + E$ with $X \in C, \#I \leq r$,

then $X' > \Pi_k$.

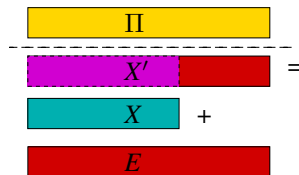
- ▶ Redundancy $r = n - k$, distance: $r + 1$
- ▶ \Rightarrow can correct up to $\lfloor \frac{r}{2} \rfloor$ errors in theory
- ▶ More complicated in practice...

Correction

- ▶ $\forall i \notin I : E \bmod m_i = 0$
- ▶ E is a multiple of Π_V : $E = Z\Pi_V = Z \prod_{i \notin I} m_i$
- ▶ $\gcd(E, \Pi) = \Pi_V$

Property

The Extended Euclidean Algorithm, applied to (Π, E) and to $(X' = X + E, \Pi)$, performs the same first iterations until $r_i < \Pi_V$.


$$\begin{array}{l} \Pi \\ \hline X' \\ X \\ E \end{array} = \begin{array}{l} u_0\Pi + v_0E = \Pi \\ \vdots \\ u_{i-1}\Pi + v_{i-1}E = \Pi_V \\ u_i\Pi + v_iE = 0 \end{array} \left| \begin{array}{l} u_0\Pi + v_0X' = X' \\ \vdots \\ u_{i-1}\Pi + v_{i-1}X' = r_{i-1} \\ u_i\Pi + v_iX' = r_i \end{array} \right.$$

$\Rightarrow v_i X = r_i$

Correction capacity

Mandelbaum 78:

- ▶ 1 symbol = 1 residue
- ▶ Polynomial time algorithm if $e \leq (n - k) \frac{\log m_{\min} - \log 2}{\log m_{\max} + \log m_{\min}}$
- ▶ worst case: exponential (random perturbation)

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Interpretation:

Errors have variable weights depending on their impact $\prod_{i \in I} m_i$

Example: $m_1 = 3, m_2 = 5, m_3 = 3001$

- ▶ Mandelbaum: only corrects 1 error provided $X < 3$
- ▶ Adaptive: also corrects
 - ▶ 1 error mod 3 if $X < 333$
 - ▶ 1 error mod 5 if $X < 120$
 - ▶ 2 errors mod 2 and 3 if $X < 13$

Generalized point of view: amplitude code

Over a Euclidean ring \mathcal{A} with a **Euclidean function** ν , multiplicative and sub-additive, ie such that

$$\begin{aligned}\nu(ab) &= \nu(a)\nu(b) \\ \nu(a+b) &\leq \nu(a) + \nu(b)\end{aligned}$$

eg.

- ▶ over \mathbb{Z} : $\nu(x) = |x|$
- ▶ over $K[X]$: $\nu(P) = 2^{\deg(P)}$

Definition

Error impact between x and y : $\Pi_F = \prod_{i|x \neq y} m_i$

Error amplitude: $\nu(\Pi_F)$

Amplitude codes

Distance

$$\begin{aligned} \Delta : \mathcal{A} \times \mathcal{A} &\rightarrow \mathbb{R}_+ \\ (x, y) &\mapsto \sum_{i|x \neq y} \log_2 \nu(m_i) \end{aligned}$$

$$\Delta(x, y) = \log_2 \nu(\Pi_F)$$

Definition ((n, k) -amplitude code)

Given $\{m_i\}_{i \leq m}$ pairwise rel. prime, and $\kappa \in \mathbb{R}_+$ The set

$$C = \{x \in \mathcal{A} : \nu(x) < \kappa\},$$

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$$\forall (x, y) \in C$$

$$\Delta(x, y) > n - k - 1$$

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$\forall (x, y) \in C, \mathcal{A} = K[X]$

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\sim Singleton bound

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Advantages

- ▶ Generalization over any Euclidean ring
- ▶ Natural representation of the amount of information
- ▶ No need to sort moduli
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- ▶ Finer correction capacities
- ▶ **Adaptive decoding:** taking advantage of all the available redundancy
- ▶ **Early termination:** with no a priori knowledge of a bound on the result

Amplitude decoding, with static correction capacity

Amplitude based decoder over R

Input: Π, X'

Input: $\tau \in \mathbb{R}_+ \mid \tau < \frac{\nu(\Pi)}{2}$: bound on the maximal error amplitude

Output: $X \in R$: corrected message s.t. $\nu(X)4\tau^2 \leq \nu(\Pi)$

begin

$u_0 = 1, v_0 = 0, r_0 = \Pi;$

$u_1 = 0, v_1 = 1, r_1 = X';$

$i = 1;$

while $(\nu(r_i) > \nu(\Pi)/2\tau)$ **do**

Let $r_{i-1} = q_i r_i + r_{i+1}$ **be the Euclidean division of** r_{i-1} **by** r_i ;

$u_{i+1} = u_{i-1} - q_i u_i;$

$v_{i+1} = v_{i-1} - q_i v_i;$

$i = i + 1;$

return $X = \frac{r_i}{v_i}$

- ▶ reaches the quasi-maximal correction capacity

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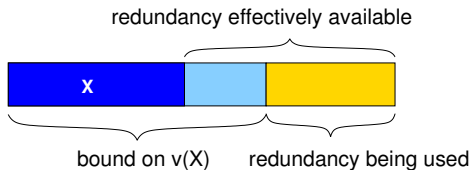
return $X = \frac{r_i}{v_i}$

- ▶ reaches the quasi-maximal correction capacity
- ▶ requires an *a priori* knowledge of τ
 - ⇒ How to make the correction capacity adaptive?

Adaptive approach

Multiple goals:

- ▶ With a fixed n , the correction capacity depends on a bound on $\nu(X)$
 - ⇒ pessimistic estimate
 - ⇒ how to take advantage of all the available redundancy?



A first adaptive approach: divisibility check

Termination criterion in the Extended Euclidean alg.:

- ▶ $u_{i+1}\Pi + v_{i+1}E = 0$
 - ⇒ $E = -u_{i+1}\Pi/v_{i+1}$
 - ⇒ test if v_j divides Π
- ▶ check if X satisfies: $\nu(X) \leq \frac{\nu(\Pi)}{4\nu(v_j)^2}$
- ▶ But several candidates are possible
 - ⇒ discrimination by a post-condition on the result

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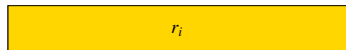
Example

m_i		3	5	7
x_i		2	3	2

- ▶ $x = 23$ with 0 error
- ▶ $x = 2$ with 1 error

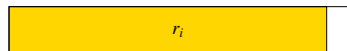
Detecting a gap

$$u_i\Pi + v_i(X + E) = r_i \quad \Rightarrow \quad u_i\Pi + v_iE = r_i - v_iX$$



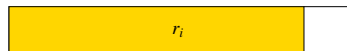
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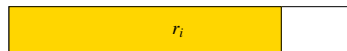
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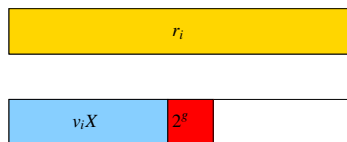


$$X = -r_i/v_i$$

- ▶ At the final iteration: $\nu(r_i) = \nu(v_iX)$
- ▶ If necessary, a gap appears between r_{i-1} and r_i .

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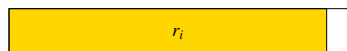


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- ▶ \Rightarrow Introduce a *blank* 2^g in order to detect a gap $> 2^g$

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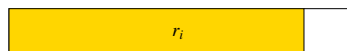


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- ▶ \Rightarrow Introduce a *blank* 2^g in order to detect a gap $> 2^g$

Detecting a gap

$$u_i\Pi + v_i(X + E) = r_i \quad \Rightarrow \quad u_i\Pi + v_iE = r_i - v_iX$$

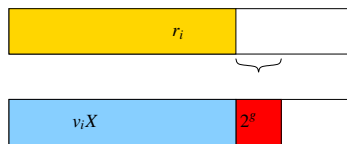


$$X = -r_i/v_i$$

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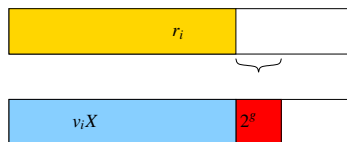


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Property

- ▶ *Loss of correction capacity: very small in practice*
- ▶ *Test of the divisibility for the remaining candidates*
- ▶ *Strongly reduces the number of divisibility tests*

Experiments

Size of the error	10	50	100	200	500	1000
$g = 2$	1/446	1/765	1/1118	2/1183	2/4165	1/7907
$g = 3$	1/244	1/414	1/576	2/1002	2/2164	1/4117
$g = 5$	1/53	1/97	1/153	2/262	1/575	1/1106
$g = 10$	1/1	1/3	1/9	1/14	1/26	1/35
$g = 20$	1/1	1/1	1/1	1/1	1/1	1/1

Table: Number of remaining candidates after the gap detection: c/d means d candidates with a gap $> 2^g$, and c of them passed the divisibility test. $n \approx 6001$ (3000 moduli), $\kappa \approx 201$ (100 moduli).

Experiments

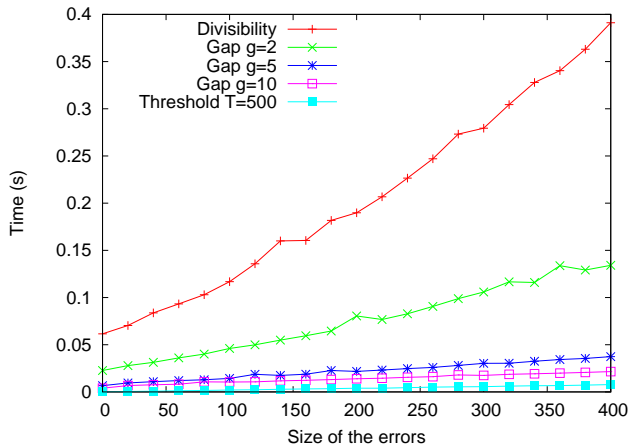


Figure: Comparison for $n \approx 26\,016$ ($m = 1300$ moduli of 20 bits), $\kappa \approx 6001$ (300 moduli) and $\tau \approx 10007$ (about 500 moduli).

Conclusion

Adaptive decoding of CRT codes

- ▶ finer bounds on the correction capacity
- ▶ adaptive decoding using the best effective redundancy
- ▶ efficient termination heuristics

Sparse interpolation code over $K[X]$

- ▶ lower bound on the necessary number of evaluations
- ▶ optimal unique decoding algorithm
- ▶ list decoding variant

Perspectives

- ▶ Generalization to adaptive list decoding of CRT codes
- ▶ Tight bound on the size of the list when $n \geq 2t(E + 1)$,
- ▶ Sparse Cauchy interpolation with errors.

Bonus : Dense rational function interpolation with errors (Cauchy interpolation)

$$y_i = \frac{f(x_i)}{g(x_i)}$$

Rational function interpolation: Pade approximant

- ▶ Find $h \in K[X]$ s.t. $h(x_i) = y_i$ (interpolation)
- ▶ Find f, g s.t. $hg = f \pmod{\prod (X - x_i)}$ (Pade approx)

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Introducing an error of impact $\Pi_F = \prod_{i \in I} (X - x_i)$:

$$hg\Pi_F = f\Pi_F \pmod{\prod (X - x_i)}$$

Property

If $n \geq \deg f + \deg g + 2e$, one can interpolate with at most e errors