

Natural Deduction: quantifiers, copy and equality

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Motivation

Propositional case

There are algorithms to decide whether a given formula is valid or not.

First-order case

There is no algorithm to decide whether a given formula is valid or not.

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First-order case

There is no algorithm to decide whether a given formula is valid or not.

If we assume the equivalence between provable and valid, there is no algorithm that, given a first-order formula, could:

- ▶ build a proof
- ▶ or warn us that this formula has no proof.

Alonzo Church (1903-1995), american logician

- ▶ Inventor of the lambda-calculus (1936)
 $(\lambda x.xy) (\lambda z.z) \rightarrow_{\beta} (\lambda z.z)y$
 - ▶ attempt at a universal computational model
 - ▶ basis for functional languages
(ML, Lisp...)
 - ▶ can represent programs as well as proofs
 - ▶ one of the first notions of typing



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- ▶ Proof that first-order logic is algorithmically undecidable
(hindering strongly Hilbert's program)
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- ▶ Proof that first-order logic is algorithmically undecidable (hindering strongly Hilbert's program)
- ▶ Independently proved by Turing (1937)
- ▶ Church-Turing's thesis: the λ -calculus or the Turing machine express exactly what a mechanical computation is



Overview

Introduction

Rules and examples

Copy rule

Rules for equality

Conclusion

Overview

Introduction

Rules and examples

Copy rule

Rules for equality

Conclusion

Reminder: Propositional rules

Table 3.1

Introduction	Elimination
$\begin{array}{c} [A] \\ \dots \\ \frac{B}{A \Rightarrow B} \end{array} \Rightarrow I$	$\frac{A \quad A \Rightarrow B}{B} \Rightarrow E$
$\frac{A \quad B}{A \wedge B} \wedge I$	$\frac{A \wedge B}{A} \wedge E1$ $\frac{A \wedge B}{B} \wedge E2$
$\frac{A}{A \vee B} \vee I1$ $\frac{A}{B \vee A} \vee I2$	$\frac{A \vee B \quad A \Rightarrow C \quad B \Rightarrow C}{C} \vee E$
<i>Ex falso quodlibet</i>	
$\frac{\perp}{A} \text{Efq}$	
<i>Reductio ad absurdo</i>	
$\frac{\neg \neg A}{A} \text{RAA}$	

An extension of propositional natural deduction

- ▶ The definitions for **proof sketch, environment, context, usable formula** remain **the same !**

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- ▶ Still only one rule to remove hypotheses: \Rightarrow I.

Additional rules about

- ▶ **quantifiers**
- ▶ **copy**
- ▶ **equality**

Consistency and completeness

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- ▶ **Consistency** : $\Gamma \vdash A$ implies $\Gamma \models A$.

Proved in the next lecture.

The main point is to prove that the new rules are consistent.

Consistency and completeness

- ▶ **Consistency** : $\Gamma \vdash A$ implies $\Gamma \models A$.

Proved in the next lecture.

The main point is to prove that the new rules are consistent.

- ▶ **Completeness** : $\Gamma \models A$ implies $\Gamma \vdash A$.

Assumed without proof.

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Quantifier rules

An elimination rule and an introduction rule for each quantifier.

- ▶ How to **use these rules** on examples.
- ▶ And some **mistakes** you can make if you don't comply with the use conditions of these rules.

Reminder

Definition 4.3.34

Let x be a variable, t a term and A a formula.

1. $A < x := t >$ is the formula obtained by replacing in A every **free occurrence** of x with the term t .
2. The term t is **free for x in A** if the variables of t are not bound in the free occurrences of x .

Reminder

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Example

$$A = \forall y P(x, y)$$

- Is z free for x in A ?

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- ▶ Is $g(y)$ free for x in A ?

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Example

$$A = \forall y P(x, y)$$

- ▶ Is z free for x in A ? **yes**
- ▶ Is $g(y)$ free for x in A ? **no**
- ▶ Is $f(x)$ free for y in A ? **yes**

Quantifier rules: $\forall E$

A and B are formulae, x is a variable, t is a term

 \forall Elimination

$$\frac{\forall xA}{A \langle x := t \rangle} \forall E$$

t must be free for x in A .

Example 6.1.1

Wrong use of the rule $\forall E$: where is the mistake ?

- | | | | |
|---|---|---|--------------------|
| 1 | 1 | Assume $\forall x \exists y P(x, y)$ | |
| 1 | 2 | $\exists y P(y, y)$ | $\forall E$ 1, y |
| | 3 | Therefore $\forall x \exists y P(x, y) \Rightarrow \exists y P(y, y)$ | |

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On line 2, the use conditions of $\forall E$ are not met because the term y isn't free for x in the formula $\exists y P(x, y)$.

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On line 2, the use conditions of $\forall E$ are not met because the term y isn't free for x in the formula $\exists y P(x, y)$.

Let I be the interpretation with domain $\{0, 1\}$ such that $P_I =$

$\{(0, 1), (1, 0)\}$

This interpretation makes the “conclusion” false.

Quantifier rules: \forall I

A and B are formulae, x is a variable.

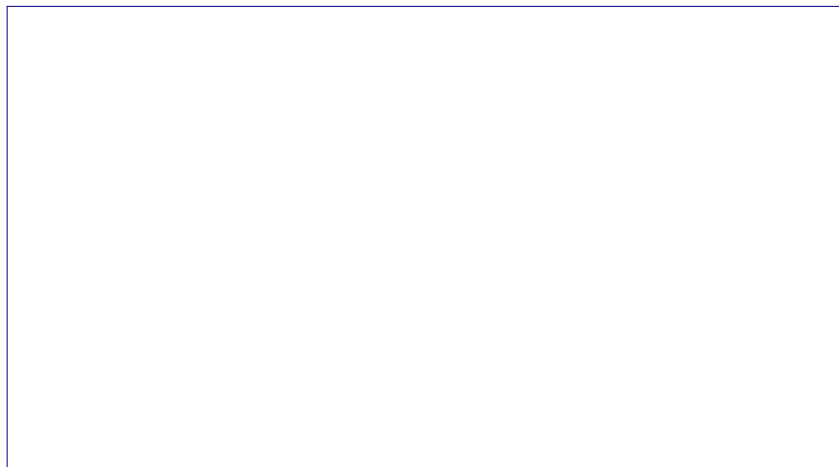
 \forall Introduction

$$\frac{A}{\forall xA} \forall I$$

x must be free

- ▶ neither in the **environment** of the proof,
- ▶ nor in the **context** of the premise of the rule.

Example 6.1.2 $\forall yP(y) \wedge \forall yQ(y) \Rightarrow \forall x(P(x) \wedge Q(x))$



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1	1	Assume $\forall yP(y) \wedge \forall yQ(y)$	
1	2	$\forall yP(y)$	$\wedge E1$ 1
1	3	$\forall yQ(y)$	$\wedge E2$ 1
1	4	$P(x)$	$\forall E$ 2, x
1	5	$Q(x)$	$\forall E$ 3, x

Remark : When using rule $\forall E$ on lines 4 and 5, we specify that y has been replaced with x .

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1	5	$Q(x)$	$\forall E$ 3, x
1	6	$P(x) \wedge Q(x)$	$\wedge I$ 4, 5

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1	7	$\forall x(P(x) \wedge Q(x))$	$\forall I$ 6

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1	5	$Q(x)$	$\forall E$ 3, x
1	6	$P(x) \wedge Q(x)$	$\wedge I$ 4, 5
1	7	$\forall x(P(x) \wedge Q(x))$	$\forall I$ 6
	8	Therefore $\forall yP(y) \wedge \forall yQ(y) \Rightarrow \forall x(P(x) \wedge Q(x))$	$\Rightarrow I$ 1, 7

Remark : When using rule $\forall E$ on lines 4 and 5, we specify that y has been replaced with x .

Example 6.1.3

Wrong use of the rule $\forall I$

- | | | | |
|---|---|--|----------------------|
| 1 | 1 | Assume $P(x)$ | |
| 1 | 2 | $\forall xP(x)$ | $\forall I$ 1 |
| | 3 | Therefore $P(x) \Rightarrow \forall xP(x)$ | $\Rightarrow I$ 1, 2 |

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Wrong use of the rule $\forall I$

1	1	Assume $P(x)$	
1	2	$\forall xP(x)$	$\forall I$ 1 ERROR
	3	Therefore $P(x) \Rightarrow \forall xP(x)$	$\Rightarrow I$ 1, 2

On line 2, x is free in the context $P(x)$, which disallows generalisation on x .

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On line 2, x is free in the context $P(x)$, which disallows generalisation on x .

Let I be the interpretation with domain $\{0, 1\}$ such that $P_I = \{0\}$.

Let e be a state where $x = 0$.

The assignment (I, e) makes the “conclusion” false.

Quantifier rules: $\exists E$

A and B are formulae, x is a variable.

 \exists Elimination

$$\frac{\exists xA \quad (A \Rightarrow B)}{B} \exists E$$

x must be free

- ▶ neither in the environment,
- ▶ nor in B ,
- ▶ nor in the context of $A \Rightarrow B$.

Example 6.1.4

Wrong use of the rule $\exists E$

1	1	Assume $\exists xP(x) \wedge (P(x) \Rightarrow \forall yQ(y))$	
1	2	$\exists xP(x)$	$\wedge E1$ 1
1	3	$P(x) \Rightarrow \forall yQ(y)$	$\wedge E2$ 1
1	4	$\forall yQ(y)$	$\exists E$ 2, 3
	5	Therefore $\exists xP(x) \wedge (P(x) \Rightarrow \forall yQ(y)) \Rightarrow \forall yQ(y)$	$\Rightarrow I$ 1,4

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The context of the premise $P(x) \Rightarrow \forall yQ(y)$ must not depend on x .

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The context of the premise $P(x) \Rightarrow \forall yQ(y)$ must not depend on x .

Let I be the interpretation with domain $\{0, 1\}$ such that $P_I = Q_I = \{0\}$.

Let e be the state where $x = 1$.

The assignment (I, e) makes this “conclusion” false.

Example 6.1.5

Wrong use of the rule $\exists E$

1	1	Assume $\exists xP(x)$	
1, 2	2	Assume $P(x)$	
1	3	Therefore $P(x) \Rightarrow P(x)$	$\Rightarrow I 2, 2$
1	4	$P(x)$	$\exists E 1, 3$
1	5	$\forall xP(x)$	$\forall I 4$
	6	Therefore $\exists xP(x) \Rightarrow \forall xP(x)$	

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1	1	Assume $\exists xP(x)$	
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1	3	Therefore $P(x) \Rightarrow P(x)$	$\Rightarrow I\ 2, 2$
1	4	$P(x)$	$\exists E\ 1, 3$ ERROR
1	5	$\forall xP(x)$	$\forall I\ 4$
	6	Therefore $\exists xP(x) \Rightarrow \forall xP(x)$	

The conclusion of rule $\exists E$ must not depend on x .

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The conclusion of rule $\exists E$ must not depend on x .

Let I be the interpretation with domain $\{0, 1\}$ such that $P_I = \{0\}$.
 I make the “conclusion” false.

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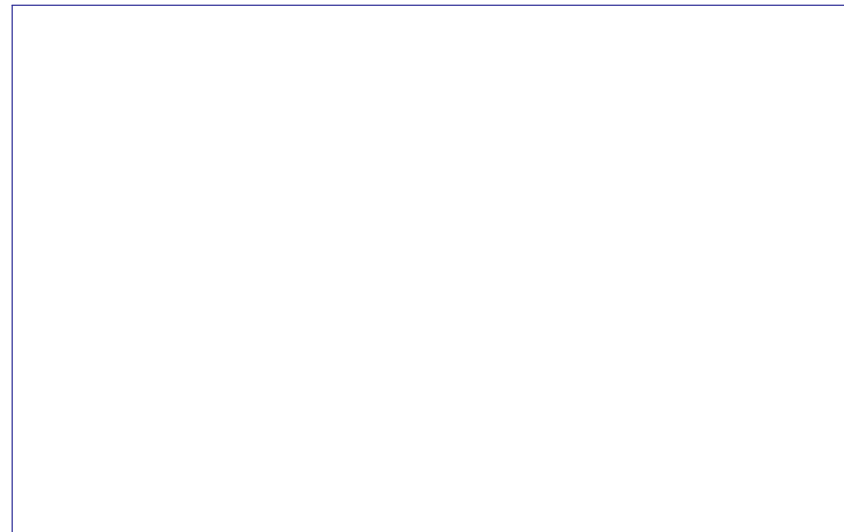
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$$\frac{A \langle x := t \rangle}{\exists x A} \exists I$$

t must be free for x in A .

Example 6.1.6 $\neg\forall xA \Rightarrow \exists x\neg A$ (De Morgan's law)



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1, 2, 3	3	Assume $\neg A$

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1	1	Assume $\neg\forall xA$	
1, 2	2	Assume $\neg\exists x\neg A$	
1, 2, 3	3	Assume $\neg A$	
1, 2, 3	4	$\exists x\neg A$	$\exists I/3, x$

- On line 4: we use $\neg A = \neg A < x := x >$
and a variable x is always free for itself in A .

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1	1	Assume $\neg\forall xA$	
1, 2	2	Assume $\neg\exists x\neg A$	
1, 2, 3	3	Assume $\neg A$	
1, 2, 3	4	$\exists x\neg A$	$\exists I\ 3, x$
1, 2, 3	5	\perp	$\Rightarrow E\ 2, 4$

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1, 2, 3	4	$\exists x\neg A$	$\exists I\ 3, x$
1, 2, 3	5	\perp	$\Rightarrow E\ 2, 4$
1, 2	6	Therefore $\neg\neg A$	$\Rightarrow I\ 3, 5$

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1, 2, 3	5	\perp	$\Rightarrow E\ 2, 4$
1, 2	6	Therefore $\neg\neg A$	$\Rightarrow I\ 3, 5$
1, 2	7	A	Raa 6

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1, 2, 3	3	Assume $\neg A$	
1, 2, 3	4	$\exists x\neg A$	$\exists I\ 3, x$
1, 2, 3	5	\perp	$\Rightarrow E\ 2, 4$
1, 2	6	Therefore $\neg\neg A$	$\Rightarrow I\ 3, 5$
1, 2	7	A	Raa 6
1, 2	8	$\forall xA$	$\forall I\ 7$

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1, 2, 3	3	Assume $\neg A$	
1, 2, 3	4	$\exists x\neg A$	$\exists I\ 3, x$
1, 2, 3	5	\perp	$\Rightarrow E\ 2, 4$
1, 2	6	Therefore $\neg\neg A$	$\Rightarrow I\ 3, 5$
1, 2	7	A	Raa 6
1, 2	8	$\forall xA$	$\forall I\ 7$
1, 2	9	\perp	$\Rightarrow E\ 1, 8$

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1, 2, 3	4	$\exists x\neg A$	$\exists I\ 3, x$
1, 2, 3	5	\perp	$\Rightarrow E\ 2, 4$
1, 2	6	Therefore $\neg\neg A$	$\Rightarrow I\ 3, 5$
1, 2	7	A	Raa 6
1, 2	8	$\forall xA$	$\forall I\ 7$
1, 2	9	\perp	$\Rightarrow E\ 1, 8$
1	10	Therefore $\neg\neg\exists x\neg A$	$\Rightarrow I\ 2, 9$

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1, 2, 3	3	Assume $\neg A$	
1, 2, 3	4	$\exists x\neg A$	$\exists I\ 3, x$
1, 2, 3	5	\perp	$\Rightarrow E\ 2, 4$
1, 2	6	Therefore $\neg\neg A$	$\Rightarrow I\ 3, 5$
1, 2	7	A	Raa 6
1, 2	8	$\forall xA$	$\forall I\ 7$
1, 2	9	\perp	$\Rightarrow E\ 1, 8$
1	10	Therefore $\neg\neg\exists x\neg A$	$\Rightarrow I\ 2, 9$
1	11	$\exists x\neg A$	Raa 10

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Example 6.1.6 $\neg\forall xA \Rightarrow \exists x\neg A$ (De Morgan's law)

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1, 2, 3	3	Assume $\neg A$	
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1, 2, 3	5	\perp	$\Rightarrow E\ 2, 4$
1, 2	6	Therefore $\neg\neg A$	$\Rightarrow I\ 3, 5$
1, 2	7	A	Raa 6
1, 2	8	$\forall xA$	$\forall I\ 7$
1, 2	9	\perp	$\Rightarrow E\ 1, 8$
1	10	Therefore $\neg\neg\exists x\neg A$	$\Rightarrow I\ 2, 9$
1	11	$\exists x\neg A$	Raa 10
	12	Therefore $\neg\forall xA \Rightarrow \exists x\neg A$	$\Rightarrow I\ 1, 11$

- On line 4: we use $\neg A = \neg A < x := x >$
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Quantifier rules recap: Figure 6.1

$\frac{A}{\forall xA} \quad \forall I$	<p>x must be free</p> <ul style="list-style-type: none"> ▶ neither in the environment of the proof, ▶ nor in the context of the premise
$\frac{\forall xA}{A\langle x:=t \rangle} \quad \forall E$	<p>t must be free for x in A</p>
$\frac{A\langle x:=t \rangle}{\exists xA} \quad \exists I$	<p>t must be free for x in A</p>
$\frac{\exists xA \quad (A \Rightarrow B)}{B} \quad \exists E$	<p>x must be free</p> <ul style="list-style-type: none"> ▶ neither in the environment ▶ nor in B, ▶ nor in the context of $A \Rightarrow B$

Overview

Introduction

Rules and examples

Copy rule

Rules for equality

Conclusion

Definition

The **copy rule** consists in deducing, from a given formula, another formula which is equal up to renaming bound variables.

$$\frac{A'}{A} \text{ copy}$$

Reminders : Renaming of bound variables (1/3)

Two formulae are α -equivalent if one can be transformed into the other by replacing subformulae such as $Qx A$ with $Qy A < x := y >$ where Q is a quantifier and y does not appear in $Qx A$.

Reminders : Renaming of bound variables (1/3)

Two formulae are α -equivalent if one can be transformed into the other by replacing subformulae such as $Qx A$ with $Qy A < x := y >$ where Q is a quantifier and y does not appear in $Qx A$.

Example 4.4.4

- ▶ $\forall x p(x, z) =_{\alpha} \forall y p(y, z)$.
- ▶ $\forall x p(x, z) \neq_{\alpha} \forall z p(z, z)$.

Renaming of bound variables (2/3)

Definition 4.4.5

Two formulae are **equal up to renaming of bound variables** if we can obtain one starting from the other by replacements such as 1

$Qx A \equiv Qy A \langle x := y \rangle$ where y is a variable not appearing in $Qx A$

The two formulae are said to be:

- ▶ **α -equivalent**
- ▶ or a **copy** of each other
- ▶ denoted $A =_{\alpha} B$

Renaming of bound variables (3/3)

Theorem 4.4.6

If two formulae are equal up to renaming of bound variables then they are equivalent.

Example 4.4.7

Let us show that $\forall x \exists y P(x, y)$ and $\forall y \exists x P(y, x)$ are equivalent.

Renaming of bound variables (3/3)

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Let us show that $\forall x \exists y P(x, y)$ and $\forall y \exists x P(y, x)$ are equivalent.

$$\begin{aligned} & \forall x \exists y P(x, y) \\ =_{\alpha} & \forall u \exists y P(u, y) \end{aligned}$$

Renaming of bound variables (3/3)

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Let us show that $\forall x \exists y P(x, y)$ and $\forall y \exists x P(y, x)$ are equivalent.

$$\begin{aligned}
 & \forall x \exists y P(x, y) \\
 =_{\alpha} & \forall u \exists y P(u, y) \\
 =_{\alpha} & \forall u \exists x P(u, x) \\
 =_{\alpha} & \forall y \exists x P(y, x)
 \end{aligned}$$

α -equivalence howto

Technique

- ▶ Draw lines between each quantifier and the variables that it binds.
- ▶ Erase the name of bound variables.

If after this transformation, the two formulae become identical, then they are α -equivalent.

Example 4.4.8

With the two formulae $\forall x \exists y P(y, x)$ and $\forall y \exists x P(x, y)$:

$$\forall x \exists y P(y, x)$$

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α -equivalence howto

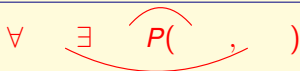
Technique

- ▶ Draw lines between each quantifier and the variables that it binds.
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If after this transformation, the two formulae become identical, then they are α -equivalent.

Example 4.4.8

With the two formulae $\forall x \exists y P(y, x)$ and $\forall y \exists x P(x, y)$:



Exercise

Compute the transformation for

▶ $A = \forall x \forall y R(x, y, y)$

▶ $B = \forall x \forall y R(x, x, y)$

Are A and B α -equivalent?

Proof without the copy rule

In the environment $(i) \exists xP(x)$:

Proof without the copy rule

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1 1 Assume $P(x)$

Proof without the copy rule

In the environment $(i) \exists xP(x)$:

1 1 Assume $P(x)$

1 2 $\exists yP(y)$

$\exists I$ 1, x

Proof without the copy rule

In the environment $(i) \exists xP(x)$:

1	1	Assume $P(x)$	
1	2	$\exists yP(y)$	$\exists I$ 1, x
	3	Therefore $P(x) \Rightarrow \exists yP(y)$	$\Rightarrow I$ 1, 2

Proof without the copy rule

In the environment $(i) \exists xP(x)$:

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Proof without the copy rule

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	4	$\exists yP(y)$	$\exists E$ i, 3

Theorem (assumed)

Let A and A' be two formulae which are copies of one another.
Then there exists a proof of A in the environment A' .

The copy rule is **a derivable rule**: its use can always be replaced by a (possibly long) proof.

It is the **only** derivable rule we will allow.

Overview

Introduction

Rules and examples

Copy rule

Rules for equality

Conclusion

Reflexivity and congruence

Equality is characterized by two rules:

- ▶ every term is equal to itself
- ▶ if two terms are equal, then one can be replaced with the other.

Reflexivity and congruence

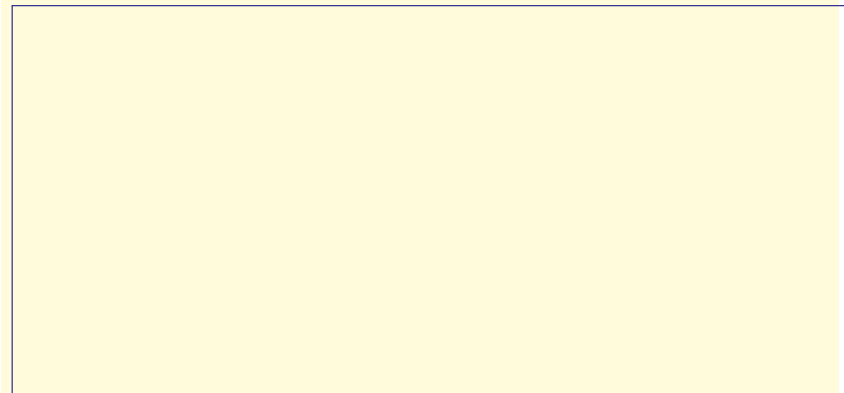
Equality is characterized by two rules:

- ▶ every term is equal to itself
- ▶ if two terms are equal, then one can be replaced with the other.

$\overline{t=t}$	reflexivity	t is a term
$\frac{s=t \quad A\langle x:=s \rangle}{A\langle x:=t \rangle}$	congruence	s and t are two terms free for the variable x in the formula A

Example 6.1.7

Let us prove that $s = t \Rightarrow t = s$ (symmetry)



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1 1 Assume $s = t$

1 2 $s = s$ reflexivity

Example 6.1.7

Let us prove that $s = t \Rightarrow t = s$ (symmetry)

1 1 Assume $s = t$

1 2 $s = s$

reflexivity

1 3 $t = s$

congruence 1, 2

$$\frac{s = t \quad (x = s) \langle x := s \rangle}{(x = s) \langle x := t \rangle}$$

Example 6.1.7

Let us prove that $s = t \Rightarrow t = s$ (symmetry)

1 1 Assume $s = t$

1 2 $s = s$

reflexivity

1 3 $t = s$

congruence 1, 2

$$\frac{s = t \quad (x = s) < x := s >}{(x = s) < x := t >}$$

3 Therefore $s = t \Rightarrow t = s \Rightarrow$ I 1, 3

Example 6.1.7

Let us prove that $s = t \Rightarrow t = s$ (symmetry)

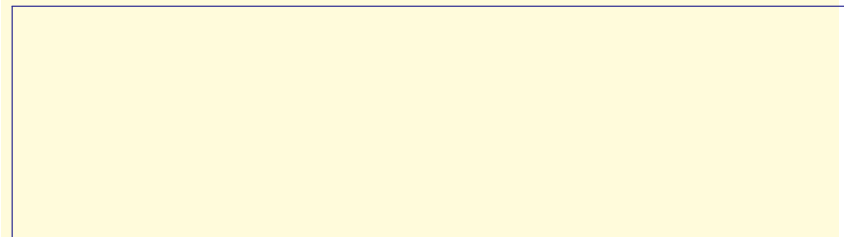
1	1	Assume $s = t$		
1	2	$s = s$	reflexivity	
1	3	$t = s$	congruence 1, 2	$\frac{s = t \quad (x = s) < x := s >}{(x = s) < x := t >}$
3	Therefore $s = t \Rightarrow t = s \Rightarrow \mid 1, 3$			

Remark : The variable x does not appear in the proof, its only use is to name the place where we replace s with t .

In the next examples, we will just **underline** this place.

Example 6.1.8

Let us prove that $s = t \wedge t = u \Rightarrow s = u$ (transitivity)



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1 1 Assume $s = t \wedge t = u$

1 2 $s = t$ $\wedge E1$ 1

Example 6.1.8

Let us prove that $s = t \wedge t = u \Rightarrow s = u$ (transitivity)

1	1	Assume $s = t \wedge t = u$	
1	2	$s = t$	$\wedge E1$ 1
1	3	$t = u$	$\wedge E2$ 1

Example 6.1.8

Let us prove that $s = t \wedge t = u \Rightarrow s = u$ (transitivity)

1	1	Assume $s = t \wedge t = u$	
1	2	$s = \underline{t}$	$\wedge E1$ 1
1	3	$t = \underline{u}$	$\wedge E2$ 1
1	4	$s = \underline{u}$	congruence 3, 2

Example 6.1.8

Let us prove that $s = t \wedge t = u \Rightarrow s = u$ (transitivity)

1	1	Assume $s = t \wedge t = u$	
1	2	$s = \underline{t}$	$\wedge E1$ 1
1	3	$t = \underline{u}$	$\wedge E2$ 1
1	4	$s = \underline{u}$	congruence 3, 2
	5	Therefore $s = t \wedge t = u \Rightarrow s = u$	$\Rightarrow I$ 1, 4

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Today

- ▶ First-order resolution is **complete**, and one way to build a first-order proof is by **lifting** a propositional proof.
- ▶ First-order Natural Deduction
 - ▶ New rules for **introducing** and **eliminating** the quantifiers.
 - ▶ **Copy, equality**

Next lecture

- ▶ Tactics
- ▶ Consistency of the system