

# First Order Natural Deduction : Tactics and Consistency

Frédéric Prost

Université Grenoble Alpes

April 2023

# Overview

Reminder: Rules

Contents

Proof tactics

Properties

Consistency of the system

Conclusion

# Overview

Reminder: Rules

Contents

Proof tactics

Properties

Consistency of the system

Conclusion

## Reminder: “Propositional” rules

Table 3.1

Introduction	Elimination
$\begin{array}{c} [A] \\ \dots \\ \frac{B}{A \Rightarrow B} \end{array} \Rightarrow I$	$\frac{\frac{A \quad A \Rightarrow B}{B}}{\Rightarrow E}$
$\frac{\frac{A \quad B}{A \wedge B}}{\wedge I}$	$\frac{A \wedge B}{A} \wedge E1$ $\frac{A \wedge B}{B} \wedge E2$
$\frac{A}{A \vee B} \vee I1$ $\frac{A}{B \vee A} \vee I2$	$\frac{A \vee B \quad A \Rightarrow C \quad B \Rightarrow C}{C} \vee E$
Ex falso quodlibet	
$\frac{\perp}{A} \text{ Eq}$	
Reductio ad absurdum	
$\frac{\neg \neg A}{A} \text{ RAA}$	

## Summary of the quantification rules: Figure 6.1

$\frac{A}{\forall xA}$	$\forall I$	$x$ must be free neither in the proof environment, nor in the context
$\frac{\forall xA}{A\langle x:=t \rangle}$	$\forall E$	$t$ is free for $x$ in $A$
$\frac{A\langle x:=t \rangle}{\exists xA}$	$\exists I$	$t$ is free for $x$ in $A$
$\frac{\exists xA \quad (A \Rightarrow B)}{B}$	$\exists E$	$x$ must be free neither in the proof environment, nor in the context, nor in $B$ .

## Copy rule

$\frac{A'}{A}$	copy	if $A$ is equal to $A'$ up to renaming of bound variables.
----------------	------	--

+ Reflexivity and congruence for equality

# Overview

Reminder: Rules

Contents

Proof tactics

Properties

Consistency of the system

Conclusion

# Tactics

1. Two proof tactics:
  - ▶ for the rule  $\forall I$
  - ▶ for the rule  $\exists E$

# Tactics

1. Two proof tactics:
  - ▶ for the rule  $\forall I$
  - ▶ for the rule  $\exists E$
  
2. **No** tactic for the rules  $\forall E$  and  $\exists I$  (the ones that make the system undecidable !)



# Consistency and Completeness

# Consistency and Completeness

- ▶ We will prove the consistency of the rules in our system.

# Consistency and Completeness

- ▶ **We will prove the consistency of the rules in our system.**
- ▶ **We will assume without proof that the system is complete.**  
You'll find similar proofs of completeness in the following books:
  - ▶ Peter B.Andrews. *An introduction to mathematical logic : to truth through proof*. Academic Press, 1986.
  - ▶ Herbert B.Enderton. *A mathematical Introduction to Logic*. Academic Press, 2001.

# Overview

Reminder: Rules

Contents

**Proof tactics**

Properties

Consistency of the system

Conclusion

# Introduction

1. Two proof tactics for the rules  $\forall I$  and  $\exists E$  which correspond to forms of mathematical reasoning:
  - 1.1 Reason forwards with an existence hypothesis,
  - 1.2 Reason backwards to generalize.
2. Application to an example.

## Reason forwards with an existence hypothesis

Let  $\Gamma$  be a set of formulae,  $x$  a variable,  $A$  and  $C$  formulae.

We're looking for a proof of  $C$  under environment  $\Gamma, \exists xA$ .

## Reason forwards with an existence hypothesis

Let  $\Gamma$  be a set of formulae,  $x$  a variable,  $A$  and  $C$  formulae.

We're looking for a proof of  $C$  under environment  $\Gamma, \exists xA$ .

Two distinct cases:

- ▶  $x$  is free neither in  $\Gamma$  nor in  $C$ .
- ▶  $x$  is free either in  $\Gamma$  or  $C$ .

1<sup>st</sup> case:  $x$  is free neither in  $\Gamma$  nor in  $C$

In this case, the proof can be written:

Assume  $A$

proof of  $C$  under environment  $\Gamma, A$

Therefore  $A \Rightarrow C \quad \Rightarrow I 1, \dots$

$C \quad \exists E$



2<sup>nd</sup> case:  $x$  is free either in  $\Gamma$  or in  $C$

We choose a variable  $y$  :

- ▶ “fresh”, *i.e.* **not free** in  $\Gamma, C$
- ▶ not occurring in  $A$

then we reduce this case to the previous one, via the copy rule.

The proof is then written:

$\exists y A \langle x := y \rangle$  copy of  $\exists x A$

Assume  $A \langle x := y \rangle$

proof of  $C$  under environment  $\Gamma, A \langle x := y \rangle$

Therefore  $A \langle x := y \rangle \Rightarrow C$   $\Rightarrow I$  1, -

$C$

$\exists E$

## A simple example

Let's prove  $\exists xP(x) \wedge \forall x\neg P(x) \Rightarrow \perp$ .

## A simple example

Let's prove  $\exists xP(x) \wedge \forall x\neg P(x) \Rightarrow \perp$ .

1     1     Assume  $\exists xP(x) \wedge \forall x\neg P(x)$

1     8      $\perp$

9     Therefore  $\exists xP(x) \wedge \forall x\neg P(x) \Rightarrow \perp \Rightarrow I\ 1, 8$

## A simple example

Let's prove  $\exists xP(x) \wedge \forall x\neg P(x) \Rightarrow \perp$ .

1	1	Assume $\exists xP(x) \wedge \forall x\neg P(x)$	
1	2	$\exists xP(x)$	$\wedge E1$ 1
1	3	$\forall x\neg P(x)$	$\wedge E2$ 1
1	8	$\perp$	
	9	Therefore $\exists xP(x) \wedge \forall x\neg P(x) \Rightarrow \perp$	$\Rightarrow I$ 1, 8

## A simple example

Let's prove  $\exists xP(x) \wedge \forall x\neg P(x) \Rightarrow \perp$ .

1	1	Assume $\exists xP(x) \wedge \forall x\neg P(x)$	
1	2	$\exists xP(x)$	$\wedge E1$ 1
1	3	$\forall x\neg P(x)$	$\wedge E2$ 1
1,2	4	Assume $P(x)$	
1,2	6	$\perp$	
1	7	Therefore $P(x) \Rightarrow \perp$	
1	8	$\perp$	$\exists E$ 2,7
	9	Therefore $\exists xP(x) \wedge \forall x\neg P(x) \Rightarrow \perp$	$\Rightarrow I$ 1, 8

## A simple example

Let's prove  $\exists xP(x) \wedge \forall x\neg P(x) \Rightarrow \perp$ .

1	1	Assume $\exists xP(x) \wedge \forall x\neg P(x)$	
1	2	$\exists xP(x)$	$\wedge E1$ 1
1	3	$\forall x\neg P(x)$	$\wedge E2$ 1
1,2	4	Assume $P(x)$	
1,2	5	$\neg P(x)$	$\forall E$ 3 $x$
1,2	6	$\perp$	$\Rightarrow E$ 4,5
1	7	Therefore $P(x) \Rightarrow \perp$	
1	8	$\perp$	$\exists E$ 2,7
	9	Therefore $\exists xP(x) \wedge \forall x\neg P(x) \Rightarrow \perp$	$\Rightarrow I$ 1, 8

## Remarks

The search for the initial proof has been **reduced** to the search for a proof of the *same* formula in a simpler environment.

## Remarks

The search for the initial proof has been **reduced** to the search for a proof of the *same* formula in a simpler environment.

This kind of reasoning is used in maths when we look for a proof of a formula  $C$  under hypothesis  $\exists xP(x)$ .



## Remarks

The search for the initial proof has been **reduced** to the search for a proof of the *same* formula in a simpler environment.

This kind of reasoning is used in maths when we look for a proof of a formula  $C$  under hypothesis  $\exists xP(x)$ .

It amounts to introducing a “new” constant  $a$  such that  $P(a)$  holds, and proving  $C$  under hypothesis  $P(a)$ .

## Reasoning backwards to generalize

We're looking for a proof of  $\forall xA$  under environment  $\Gamma$ .

## Reasoning backwards to generalize

We're looking for a proof of  $\forall xA$  under environment  $\Gamma$ .

Two distinct cases:

- ▶  $x$  is not free in  $\Gamma$ .
- ▶  $x$  is free in  $\Gamma$ .

1<sup>st</sup> case:  $x$  is not free in  $\Gamma$

proof of  $A$  under environment  $\Gamma$

$\forall xA \quad \forall I$

## 2<sup>nd</sup> case: $x$ is free in $\Gamma$

We choose a variable  $y$  :

- ▶ “fresh”, *i.e.* **not free** in  $\Gamma$
- ▶ not occurring in  $A$

then we reduce this case to the previous one, via the copy rule.

The proof can then be written:

proof of $A \langle x := y \rangle$ under environment $\Gamma$
--

$$\forall y A \langle x := y \rangle \quad \forall I$$

$$\forall x A$$

copy of the previous formula

## A simple example

Let us prove  $\forall xP(x) \Rightarrow \forall yP(y)$  **without copy**.

## A simple example

Let us prove  $\forall xP(x) \Rightarrow \forall yP(y)$  **without copy**.

- 1 1 Assume  $\forall xP(x)$
- 1 3  $\forall yP(y)$
- 4 Therefore  $\forall xP(x) \Rightarrow \forall yP(y) \Rightarrow I 1, 4$

# A simple example

Let us prove  $\forall xP(x) \Rightarrow \forall yP(y)$  **without copy**.

- |   |   |   |                      |
|---|---|---|----------------------|
| 1 | 1 | Assume $\forall xP(x)$                              |                      |
|   |   | $P(y)$  |                      |
| 1 | 3 | $\forall yP(y)$                                     | $\forall I$ 2        |
|   | 4 | Therefore $\forall xP(x) \Rightarrow \forall yP(y)$ | $\Rightarrow I$ 1, 4 |



## A simple example

Let us prove  $\forall xP(x) \Rightarrow \forall yP(y)$  **without copy**.

1	1	Assume $\forall xP(x)$	
1	2	$P(y)$	$\forall E$ 1 $y$
1	3	$\forall yP(y)$	$\forall I$ 2
	4	Therefore $\forall xP(x) \Rightarrow \forall yP(y)$	$\Rightarrow I$ 1, 4

## Remark

The search for the initial proof has been **reduced** to the search for a proof of a simpler formula in the same environment.

## Remark

The search for the initial proof has been **reduced** to the search for a proof of a simpler formula in the same environment.

This kind of reasoning is used in maths when we're looking for a proof of  $\forall xP(x)$ .

## Remark

The search for the initial proof has been **reduced** to the search for a proof of a simpler formula in the same environment.

This kind of reasoning is used in maths when we're looking for a proof of  $\forall xP(x)$ .

It amounts to introducing a “fresh” variable  $y$  and proving the formula  $P(y)$ .

Then we conclude: since the choice of  **$y$  was arbitrary**, we have  $\forall xP(x)$ .

## An example of tactics application

We define “there exists one  $x$  and only one” (briefly noted  $\exists!x$ ) as:

$$\blacktriangleright \exists!xP(x) \doteq \exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$$

## An example of tactics application

We define “there exists one  $x$  and only one” (briefly noted  $\exists!x$ ) as:

$$\blacktriangleright \exists!xP(x) \doteq \exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$$

Expressing separately the existence of  $x$  and its uniqueness, we can define the same notion as:

$$\blacktriangleright \exists!xP(x) \doteq \exists xP(x) \wedge \forall x\forall y(P(x) \wedge P(y) \Rightarrow x = y).$$

These two definitions are equivalent of course: here we prove formally that **the former implies the latter**.

## An example of tactics application

We define “there exists one  $x$  and only one” (briefly noted  $\exists!x$ ) as:

$$\blacktriangleright \exists!xP(x) \doteq \exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$$

Expressing separately the existence of  $x$  and its uniqueness, we can define the same notion as:

$$\blacktriangleright \exists!xP(x) \doteq \exists xP(x) \wedge \forall x\forall y(P(x) \wedge P(y) \Rightarrow x = y).$$

These two definitions are equivalent of course: here we prove formally that **the former implies the latter**.

Since the proof is large, we're going to decompose it.

## 6.2.3 Proof overview

$$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \exists xP(x) \wedge \forall x\forall y(P(x) \wedge P(y) \Rightarrow x = y)$$

We apply the two following tactics:

- ▶ To prove  $A \Rightarrow B$ , assume  $A$  and deduce  $B$ .
- ▶ To prove  $B_1 \wedge B_2$ , prove  $B_1$  and prove  $B_2$ .



## 6.2.3 Proof overview

$$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \exists xP(x) \wedge \forall x\forall y(P(x) \wedge P(y) \Rightarrow x = y)$$

We apply the two following tactics:

- ▶ To prove  $A \Rightarrow B$ , assume  $A$  and deduce  $B$ .
- ▶ To prove  $B_1 \wedge B_2$ , prove  $B_1$  and prove  $B_2$ .

1 Assume  $\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$

1 proof of  $\exists xP(x)$  under environment 1

1 proof of  $\forall x\forall y(P(x) \wedge P(y) \Rightarrow x = y)$  under environment 1

1  $\exists xP(x) \wedge \forall x\forall y(P(x) \wedge P(y) \Rightarrow x = y)$   $\wedge I$

Therefore  $\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \exists xP(x) \wedge \forall x\forall y(P(x) \wedge P(y) \Rightarrow x = y)$   $\Rightarrow I$

## 6.2.3 Application of the tactic for using an existence hypothesis

Proof of  $\exists x P(x)$  under environment  $\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$

## 6.2.3 Application of the tactic for using an existence hypothesis

Proof of  $\exists xP(x)$  under environment  $\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$

context	$N^0$	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	
1	1	Assume $P(x) \wedge \forall y(P(y) \Rightarrow x = y)$	
1	2	$P(x)$	$\wedge E1$ 1
1	3	$\exists xP(x)$	$\exists I$ 2, x
	4	Therefore $P(x) \wedge \forall y(P(y) \Rightarrow x = y) \Rightarrow \exists xP(x)$	$\Rightarrow I$ 1, 2
	5	$\exists xP(x)$	$\exists E$ i, 4

## 6.2.3 Application of the tactic for obtaining a general conclusion: proof overview

Proof of  $\forall x \forall y (P(x) \wedge P(y) \Rightarrow x = y)$   
under environment  $\exists x (P(x) \wedge \forall y (P(y) \Rightarrow x = y))$

We apply the following tactics:

1. “Reason forwards with an existence hypothesis”
2. “Reason backwards to generalize”  
(twice)
3. To prove  $A \Rightarrow B$ , assume  $A$  and deduce  $B$

## 6.2.3 Application of the tactic for obtaining a general conclusion: proof

context	$N^0$	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	

## 6.2.3 Application of the tactic for obtaining a general conclusion: proof

context	$N^0$	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	
1	1	Assume $P(x) \wedge \forall y(P(y) \Rightarrow x = y)$	
1	14	$\forall x \forall y (P(x) \wedge P(y) \Rightarrow x = y)$	
	15	Therefore $(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \forall x \forall y (P(x) \wedge P(y) \Rightarrow x = y) \Rightarrow I$ 1, 14	
	16	$\forall x \forall y (P(x) \wedge P(y) \Rightarrow x = y)$	$\exists E$ i, 15

## 6.2.3 Application of the tactic for obtaining a general conclusion: proof

context	$N^o$	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	
1	1	Assume $P(x) \wedge \forall y(P(y) \Rightarrow x = y)$	
1	13	$\forall u \forall y (P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 12
1	14	$\forall x \forall y (P(x) \wedge P(y) \Rightarrow x = y)$	copy of 13
	15	Therefore $(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \forall x \forall y (P(x) \wedge P(y) \Rightarrow x = y)$	$\Rightarrow I$ 1, 14
	16	$\forall x \forall y (P(x) \wedge P(y) \Rightarrow x = y)$	$\exists E$ i, 15

## 6.2.3 Application of the tactic for obtaining a general conclusion: proof

context	N <sup>o</sup>	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	
1	1	Assume $P(x) \wedge \forall y(P(y) \Rightarrow x = y)$	
1	11	$P(u) \wedge P(y) \Rightarrow u = y$	
1	12	$\forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 11
1	13	$\forall u \forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 12
1	14	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	copy of 13
	15	Therefore $(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\Rightarrow I$ 1, 14
	16	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\exists E$ i, 15



## 6.2.3 Application of the tactic for obtaining a general conclusion: proof

context	$N^0$	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	
1	1	Assume $P(x) \wedge \forall y(P(y) \Rightarrow x = y)$	
1,2	2	Assume $P(u) \wedge P(y)$	
1,2	10	$u = y$	
1	11	Therefore $P(u) \wedge P(y) \Rightarrow u = y$	$\Rightarrow I$ 2, 10
1	12	$\forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 11
1	13	$\forall u \forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 12
1	14	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	copy of 13
	15	Therefore $(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\Rightarrow I$ 1, 14
	16	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\exists E$ i, 15

## 6.2.3 Application of the tactic for obtaining a general conclusion: proof

context	$N^0$	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	
1	1	Assume $P(x) \wedge \forall y(P(y) \Rightarrow x = y)$	
1,2	2	Assume $P(u) \wedge P(y)$	
1,2	3	$\forall y(P(y) \Rightarrow x = y)$	$\wedge E2$ 1
1,2	10	$u = y$	
1	11	Therefore $P(u) \wedge P(y) \Rightarrow u = y$	$\Rightarrow I$ 2, 10
1	12	$\forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 11
1	13	$\forall u \forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 12
1	14	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	copy of 13
	15	Therefore $(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\Rightarrow I$ 1, 14
	16	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\exists E$ i, 15

## 6.2.3 Application of the tactic for obtaining a general conclusion: proof

context	N <sup>o</sup>	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	
1	1	Assume $P(x) \wedge \forall y(P(y) \Rightarrow x = y)$	
1,2	2	Assume $P(u) \wedge P(y)$	
1,2	3	$\forall y(P(y) \Rightarrow x = y)$	$\wedge E2$ 1
1,2	4	$P(u)$	$\wedge E1$ 2
1,2	5	$P(u) \Rightarrow x = u$	$\forall E$ 3, $u$
1,2	6	$x = u$	$\Rightarrow E$ 4, 5
1,2	10	$u = y$	
1	11	Therefore $P(u) \wedge P(y) \Rightarrow u = y$	$\Rightarrow I$ 2, 10
1	12	$\forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 11
1	13	$\forall u \forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 12
1	14	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	copy of 13
	15	Therefore $(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\Rightarrow I$ 1, 14
	16	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\exists E$ i, 15

## 6.2.3 Application of the tactic for obtaining a general conclusion: proof

context	N <sup>o</sup>	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	
1	1	Assume $P(x) \wedge \forall y(P(y) \Rightarrow x = y)$	
1,2	2	Assume $P(u) \wedge P(y)$	
1,2	3	$\forall y(P(y) \Rightarrow x = y)$	$\wedge E$ 1
1,2	4	$P(u)$	$\wedge E$ 2
1,2	5	$P(u) \Rightarrow x = u$	$\forall E$ 3, $u$
1,2	6	$x = u$	$\Rightarrow E$ 4, 5
1,2	7	$P(y)$	$\wedge E$ 2
1,2	8	$P(y) \Rightarrow x = y$	$\forall E$ 3, $y$
1,2	9	$x = y$	$\Rightarrow E$ 7, 8
1,2	10	$u = y$	
1	11	Therefore $P(u) \wedge P(y) \Rightarrow u = y$	$\Rightarrow I$ 2, 10
1	12	$\forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 11
1	13	$\forall u \forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 12
1	14	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	copy of 13
	15	Therefore $(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\Rightarrow I$ 1, 14
	16	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\exists E$ i, 15

## 6.2.3 Application of the tactic for obtaining a general conclusion: proof

context	N <sup>o</sup>	formula	rule
	i	$\exists x(P(x) \wedge \forall y(P(y) \Rightarrow x = y))$	
1	1	Assume $P(x) \wedge \forall y(P(y) \Rightarrow x = y)$	
1,2	2	Assume $P(u) \wedge P(y)$	
1,2	3	$\forall y(P(y) \Rightarrow x = y)$	$\wedge E$ 1
1,2	4	$P(u)$	$\wedge E$ 2
1,2	5	$P(u) \Rightarrow x = u$	$\forall E$ 3, $u$
1,2	6	$x = u$	$\Rightarrow E$ 4, 5
1,2	7	$P(y)$	$\wedge E$ 2
1,2	8	$P(y) \Rightarrow x = y$	$\forall E$ 3, $y$
1,2	9	$\underline{x} = y$	$\Rightarrow E$ 7, 8
1,2	10	$\underline{u} = y$	congruence 6, 9
1	11	Therefore $P(u) \wedge P(y) \Rightarrow u = y$	$\Rightarrow I$ 2, 10
1	12	$\forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 11
1	13	$\forall u \forall y(P(u) \wedge P(y) \Rightarrow u = y)$	$\forall I$ 12
1	14	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	copy of 13
	15	Therefore $(P(x) \wedge \forall y(P(y) \Rightarrow x = y)) \Rightarrow \forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\Rightarrow I$ 1, 14
	16	$\forall x \forall y(P(x) \wedge P(y) \Rightarrow x = y)$	$\exists E$ i, 15

# Conclusion

The hard points in looking for proofs are the rules  $\forall E$  and  $\exists I$  :

- ▶ in forward reasoning, for formulae beginning with  $\forall$ , we need to find suitable instances of the bound variables
- ▶ in backward reasoning, we need to find suitable instances for proving formulae beginning with  $\exists$

# Overview

Reminder: Rules

Contents

Proof tactics

**Properties**

Consistency of the system

Conclusion

## Reminder

We are going to use (again) two results about substitution:

### Theorem 4.3.36

If  $t$  is a free term for the variable  $x$  in  $A$ , then

$$[A < x := t >]_{(I,e)} = [A]_{(I,e[x=d])} \quad \text{where} \quad d = \llbracket t \rrbracket_{(I,e)}$$

### Corollary 4.3.38

If  $t$  is a free term for  $x$  in  $A$ , then

- ▶  $\models \forall xA \Rightarrow A < x := t >$
- ▶  $\models A < x := t > \Rightarrow \exists xA$



# Properties of consequence

## Property 6.3.1

If  $x$  is not free in  $\Gamma$ , then

$$\Gamma \models A \quad \text{if and only if} \quad \Gamma \models \forall xA$$

## Proof of the property 6.3.1

$\Rightarrow$  Assume that  $\Gamma \models A$ .

Let  $(I, e)$  be a model of  $\Gamma$ .

## Proof of the property 6.3.1

$\Rightarrow$  Assume that  $\Gamma \models A$ .

Let  $(I, e)$  be a model of  $\Gamma$ .

Since  $x$  is not free in  $\Gamma$ , for every  $d \in D$ :

$(I, e[x = d])$  and  $(I, e)$  give the same value to the formulae in  $\Gamma$   
hence  $(I, e[x = d])$  is model of  $\Gamma$ .

## Proof of the property 6.3.1

$\Rightarrow$  Assume that  $\Gamma \models A$ .

Let  $(I, e)$  be a model of  $\Gamma$ .

Since  $x$  is not free in  $\Gamma$ , for every  $d \in D$ :

$(I, e[x = d])$  and  $(I, e)$  give the same value to the formulae in  $\Gamma$   
hence  $(I, e[x = d])$  is model of  $\Gamma$ .

Therefore,  $(I, e[x = d])$  is a model of  $A$  for any  $d \in D$ ,  
so  $(I, e)$  is a model of  $\forall xA$ .

## Proof of the property 6.3.1

$\Rightarrow$  Assume that  $\Gamma \models A$ .

Let  $(I, e)$  be a model of  $\Gamma$ .

Since  $x$  is not free in  $\Gamma$ , for every  $d \in D$ :

$(I, e[x = d])$  and  $(I, e)$  give the same value to the formulae in  $\Gamma$   
hence  $(I, e[x = d])$  is model of  $\Gamma$ .

Therefore,  $(I, e[x = d])$  is a model of  $A$  for any  $d \in D$ ,  
so  $(I, e)$  is a model of  $\forall xA$ .

$\Leftarrow$  Assume that  $\Gamma \models \forall xA$ .

Since the formula  $\forall xA \Rightarrow A$  is valid (corollary with  $t = x$ ),  
we have  $\Gamma \models A$ .

# Properties of consequence

## Property 6.3.2

If  $x$  is free neither in  $\Gamma$ , nor in  $B$ , then we have:

$$\Gamma \models A \Rightarrow B \text{ if and only if } \Gamma \models (\exists xA) \Rightarrow B$$

## Proof of property 6.3.2

$\Rightarrow$  Assume that  $\Gamma \models A \Rightarrow B$ . Actually we prove that  $\Gamma, \exists xA \models B$

Let  $(I, e)$  be a model of  $\Gamma$ .

Assume also that  $(I, e)$  is a model of  $\exists xA$ .

## Proof of property 6.3.2

$\Rightarrow$  Assume that  $\Gamma \models A \Rightarrow B$ . Actually we prove that  $\Gamma, \exists xA \models B$

Let  $(I, e)$  be a model of  $\Gamma$ .

Assume also that  $(I, e)$  is a model of  $\exists xA$ .

This means that  $(I, e[x = d])$  is a model of  $A$  for some  $d \in D$ .



## Proof of property 6.3.2

$\Rightarrow$  Assume that  $\Gamma \models A \Rightarrow B$ . Actually we prove that  $\Gamma, \exists xA \models B$

Let  $(I, e)$  be a model of  $\Gamma$ .

Assume also that  $(I, e)$  is a model of  $\exists xA$ .

This means that  $(I, e[x = d])$  is a model of  $A$  for some  $d \in D$ .

Because  $x$  is not free in  $\Gamma$ , the assignments  $(I, e[x = d])$  and  $(I, e)$  give the same value to the formulae in  $\Gamma$ .

Hence  $(I, e[x = d])$  is a model of  $A \Rightarrow B$ .

## Proof of property 6.3.2

⇒ Assume that  $\Gamma \models A \Rightarrow B$ . Actually we prove that  $\Gamma, \exists xA \models B$

Let  $(I, e)$  be a model of  $\Gamma$ .

Assume also that  $(I, e)$  is a model of  $\exists xA$ .

This means that  $(I, e[x = d])$  is a model of  $A$  for some  $d \in D$ .

Because  $x$  is not free in  $\Gamma$ , the assignments  $(I, e[x = d])$  and  $(I, e)$  give the same value to the formulae in  $\Gamma$ .

Hence  $(I, e[x = d])$  is a model of  $A \Rightarrow B$ .

Since  $(I, e[x = d])$  is a model of  $A$  too, it must be a model of  $B$ .

Finally, since  $x$  is not free in  $B$ ,  $(I, e)$  and  $(I, e[x = d])$  give the same value to  $B$ .

## Proof of property 6.3.2

$\Rightarrow$  Assume that  $\Gamma \models A \Rightarrow B$ . Actually we prove that  $\Gamma, \exists xA \models B$

Let  $(I, e)$  be a model of  $\Gamma$ .

Assume also that  $(I, e)$  is a model of  $\exists xA$ .

This means that  $(I, e[x = d])$  is a model of  $A$  for some  $d \in D$ .

Because  $x$  is not free in  $\Gamma$ , the assignments  $(I, e[x = d])$  and  $(I, e)$  give the same value to the formulae in  $\Gamma$ .

Hence  $(I, e[x = d])$  is a model of  $A \Rightarrow B$ .

Since  $(I, e[x = d])$  is a model of  $A$  too, it must be a model of  $B$ .

Finally, since  $x$  is not free in  $B$ ,  $(I, e)$  and  $(I, e[x = d])$  give the same value to  $B$ .

$\Leftarrow$  Assume that  $\Gamma \models (\exists xA) \Rightarrow B$ , i.e.  $\Gamma, \exists xA \models B$ .

Since the formula  $A \Rightarrow (\exists xA)$  is valid (corollary with  $x = t$ ),

we have  $\Gamma, A \models \Gamma, \exists xA \models B$ , thus  $\Gamma \models A \Rightarrow B$ .

# Overview

Reminder: Rules

Contents

Proof tactics

Properties

**Consistency of the system**

Conclusion

# Consistency of deduction

## Theorem 6.3.3

If  $\Gamma \vdash A$  (by a proof in natural deduction) then  $\Gamma \models A$ .

## Consistency proof overview

Let  $\Gamma$  be a set of formulae. Let  $P$  be a proof of  $A$  under  $\Gamma$ .

Let  $C_i$  be the conclusion and  $H_i$  the context of the  $i$ -th line in proof  $P$ .

## Consistency proof overview

Let  $\Gamma$  be a set of formulae. Let  $P$  be a proof of  $A$  under  $\Gamma$ .

Let  $C_i$  be the conclusion and  $H_i$  the context of the  $i$ -th line in proof  $P$ .

### Induction Hypothesis:

Assume that for every  $i$  where  $0 < i < k$ , we have  $\Gamma, H_i \models C_i$ .

Let us prove that  $\Gamma, H_k \models C_k$ .

## Consistency proof overview

Let  $\Gamma$  be a set of formulae. Let  $P$  be a proof of  $A$  under  $\Gamma$ .

Let  $C_i$  be the conclusion and  $H_i$  the context of the  $i$ -th line in proof  $P$ .

### Induction Hypothesis:

Assume that for every  $i$  where  $0 < i < k$ , we have  $\Gamma, H_i \models C_i$ .

Let us prove that  $\Gamma, H_k \models C_k$ .

The cases where  $C_k$  has been obtained by a propositional rule has already been checked.

We only deal with the new rules.



## The rule $\forall E$

Assume that  $C_k = A < x := t >$  was deduced by rule  $\forall E$ .

**By induction hypothesis**, there is an  $i < k$  such that  $\Gamma, H_i \models \forall xA$ .

## The rule $\forall E$

Assume that  $C_k = A < x := t >$  was deduced by rule  $\forall E$ .

**By induction hypothesis**, there is an  $i < k$  such that  $\Gamma, H_i \models \forall xA$ .

**According to the application conditions of rule  $\forall E$ ,**

the term  $t$  is free for  $x$  in  $A$ .

Hence, **according to corollary 4.3.38**, the formula

$\forall xA \Rightarrow A < x := t >$  is valid and therefore  $\Gamma, H_i \models A < x := t >$ .

Since line  $i$  is usable,  $H_i$  is a prefix of  $H_k$ , hence  $\Gamma, H_k \models C_k$ .

□

## The rule $\exists I$

Assume that  $C_k = \exists xA$  was deduced by rule  $\exists I$ .

**By induction hypothesis**, there is an  $i < k$  such that  
 $\Gamma, H_i \vdash A \langle x := t \rangle$

## The rule $\exists I$

Assume that  $C_k = \exists xA$  was deduced by rule  $\exists I$ .

By induction hypothesis, there is an  $i < k$  such that

$$\Gamma, H_i \vdash A \langle x := t \rangle$$

According to the application conditions of rule  $\exists I$ ,  $t$  is free for the variable  $x$  in  $A$ .

Hence, according to the corollary 4.3.38, the formula

$$A \langle x := t \rangle \Rightarrow \exists xA \text{ is valid and so } \Gamma, H_i \vdash \exists xA.$$

Since line  $i$  is usable,  $H_i$  is a prefix of  $H_k$ , hence  $\Gamma, H_k \vdash C_k$ .

□

## The rule $\forall I$

Assume that  $C_k = \forall xA$  was deduced by the rule  $\forall I$ .

## The rule $\forall I$

Assume that  $C_k = \forall xA$  was deduced by the rule  $\forall I$ .

Either  $A = C_i$  with  $i < k$ , **by induction hypothesis** we have  $\Gamma, H_i \models A$ .

Or  $A \in \Gamma$  and then  $\Gamma \models A$ .

## The rule $\forall I$

Assume that  $C_k = \forall xA$  was deduced by the rule  $\forall I$ .

Either  $A = C_i$  with  $i < k$ , **by induction hypothesis** we have  $\Gamma, H_i \models A$ .  
Or  $A \in \Gamma$  and then  $\Gamma \models A$ .

**According to the application conditions of rule  $\forall I$ ,**  
 $x$  is not free in  $\Gamma, H_i$ .

Hence, **according to property 6.3.1**, we also have  $\Gamma, H_i \models \forall xA$ .

## The rule $\forall I$

Assume that  $C_k = \forall xA$  was deduced by the rule  $\forall I$ .

Either  $A = C_i$  with  $i < k$ , **by induction hypothesis** we have  $\Gamma, H_i \models A$ .  
Or  $A \in \Gamma$  and then  $\Gamma \models A$ .

**According to the application conditions of rule  $\forall I$ ,**  
 $x$  is not free in  $\Gamma, H_i$ .

Hence, **according to property 6.3.1**, we also have  $\Gamma, H_i \models \forall xA$ .

Since line  $i$  is usable,  $H_i$  is a prefix of  $H_k$ , hence  $\Gamma, H_k \models C_k$ .

□



## The rule $\exists E$

Assume that  $C_k = B$  was deduced by rule  $\exists E$ , from formulae  $\exists xA$  and  $A \Rightarrow B$ .

**By induction hypothesis**, there are some  $i < k$  and  $j < k$  such that  $\Gamma, H_i \models \exists xA$  and  $\Gamma, H_j \models A \Rightarrow B$ .

## The rule $\exists E$

Assume that  $C_k = B$  was deduced by rule  $\exists E$ , from formulae  $\exists xA$  and  $A \Rightarrow B$ .

**By induction hypothesis**, there are some  $i < k$  and  $j < k$  such that  $\Gamma, H_i \models \exists xA$  and  $\Gamma, H_j \models A \Rightarrow B$ .

**According to the application conditions of rule  $\exists E$** ,  $x$  is free neither in  $\Gamma, H_j$ , nor in  $B$ .

Hence (**property 6.3.2**), we also have  $\Gamma, H_j \models (\exists xA) \Rightarrow B$ .

## The rule $\exists E$

Assume that  $C_k = B$  was deduced by rule  $\exists E$ , from formulae  $\exists xA$  and  $A \Rightarrow B$ .

**By induction hypothesis**, there are some  $i < k$  and  $j < k$  such that  $\Gamma, H_i \models \exists xA$  and  $\Gamma, H_j \models A \Rightarrow B$ .

**According to the application conditions of rule  $\exists E$** ,  $x$  is free neither in  $\Gamma, H_j$ , nor in  $B$ .

Hence (**property 6.3.2**), we also have  $\Gamma, H_j \models (\exists xA) \Rightarrow B$ .

Since lines  $i$  and  $j$  are usable,  $H_i$  and  $H_j$  are prefixes of  $H_k$ , hence  $\Gamma, H_k \models \exists xA$  and  $\Gamma, H_k \models (\exists xA) \Rightarrow B$ .

Consequently  $\Gamma, H_k \models C_k$ .

□

## The copy rule

Assume that  $C_k = A'$  was deduced by copy from formula  $A$ .

**By induction hypothesis**, there exists an  $i < k$  such that  $\Gamma, H_i \Vdash A$ .

We know that if  $A =_{\alpha} A'$ , then  $A \equiv A'$ , hence  $\Gamma, H_i \Vdash A'$ .

Since line  $i$  is usable,  $H_i$  is a prefix of  $H_k$ , hence  $\Gamma, H_k \Vdash C_k$ .

□

# Reflexivity

Assume that  $C_k$  is the formula  $t = t$ .

Let us recall that equality is always interpreted as  $\{(d, d) \mid d \in D\}$ , so in particular  $=_I$  always contains  $(\llbracket t \rrbracket_I, \llbracket t \rrbracket_I)$ .

Thus, the formula  $C_k$  is valid, and  $\Gamma, H_k \models C_k$ .

□

## Congruence

Assume that  $C_k = A < x := t >$  was deduced by the congruence rule.

**By induction hypothesis**, there exist some  $i < k$  and  $j < k$  such that  $\Gamma, H_i \models (s = t)$  and  $\Gamma, H_j \models A < x := s >$ .

Since lines  $i$  and  $j$  are usable,  $H_i$  and  $H_j$  are prefixes of  $H_k$ , hence  $\Gamma, H_k \models (s = t)$  and  $\Gamma, H_k \models A < x := s >$ .

## Congruence

Assume that  $C_k = A < x := t >$  was deduced by the congruence rule.

**By induction hypothesis**, there exist some  $i < k$  and  $j < k$  such that  $\Gamma, H_i \models (s = t)$  and  $\Gamma, H_j \models A < x := s >$ .

Since lines  $i$  and  $j$  are usable,  $H_i$  and  $H_j$  are prefixes of  $H_k$ , hence  $\Gamma, H_k \models (s = t)$  and  $\Gamma, H_k \models A < x := s >$ .

**The use conditions of the rule** ensure that  $s$  and  $t$  are free for  $x$  in  $A$ . Hence we can use:

- ▶  $[A < x := s >]_{(l,e)} = [A]_{(l,e[x=d])}$  where  $d = \llbracket s \rrbracket_{(l,e)}$
- ▶  $[A < x := t >]_{(l,e)} = [A]_{(l,e[x=d'])}$  where  $d' = \llbracket t \rrbracket_{(l,e)}$

## Congruence

Assume that  $C_k = A < x := t >$  was deduced by the congruence rule.

**By induction hypothesis**, there exist some  $i < k$  and  $j < k$  such that  $\Gamma, H_i \models (s = t)$  and  $\Gamma, H_j \models A < x := s >$ .

Since lines  $i$  and  $j$  are usable,  $H_i$  and  $H_j$  are prefixes of  $H_k$ , hence  $\Gamma, H_k \models (s = t)$  and  $\Gamma, H_k \models A < x := s >$ .

**The use conditions of the rule** ensure that  $s$  and  $t$  are free for  $x$  in  $A$ . Hence we can use:

- ▶  $[A < x := s >]_{(I,e)} = [A]_{(I,e[x=d])}$  where  $d = \llbracket s \rrbracket_{(I,e)}$
- ▶  $[A < x := t >]_{(I,e)} = [A]_{(I,e[x=d'])}$  where  $d' = \llbracket t \rrbracket_{(I,e)}$

Furthermore, equality ensures that if  $(I, e)$  is a model of  $s = t$  then  $d$  and  $d'$  are the **same** member of  $D$ .



## Congruence

Assume that  $C_k = A < x := t >$  was deduced by the congruence rule.

**By induction hypothesis**, there exist some  $i < k$  and  $j < k$  such that  $\Gamma, H_i \models (s = t)$  and  $\Gamma, H_j \models A < x := s >$ .

Since lines  $i$  and  $j$  are usable,  $H_i$  and  $H_j$  are prefixes of  $H_k$ , hence  $\Gamma, H_k \models (s = t)$  and  $\Gamma, H_k \models A < x := s >$ .

**The use conditions of the rule** ensure that  $s$  and  $t$  are free for  $x$  in  $A$ . Hence we can use:

- ▶  $[A < x := s >]_{(I,e)} = [A]_{(I,e[x=d])}$  where  $d = \llbracket s \rrbracket_{(I,e)}$
- ▶  $[A < x := t >]_{(I,e)} = [A]_{(I,e[x=d'])}$  where  $d' = \llbracket t \rrbracket_{(I,e)}$

Furthermore, equality ensures that if  $(I, e)$  is a model of  $s = t$  then  $d$  and  $d'$  are the **same** member of  $D$ .

Hence  $s = t, A < x := s > \models A < x := t >$ , so  $\Gamma, H_k \models C_k$ . □

# Kurt Gödel (1906-1978) and his incompleteness theorems

## First incompleteness theorem (1931)

Every logical system in which we can formalize arithmetics also allows to state:

*“This statement is unprovable”.*

- ▶ either this statement is false; thus it is provable, and our system is inconsistent
- ▶ or this statement is true; thus it is unprovable, and our system is incomplete



# Kurt Gödel (1906-1978) and his incompleteness theorems

## First incompleteness theorem (1931)

Every logical system in which we can formalize arithmetics also allows to state:

*“This statement is unprovable”.*

- ▶ either this statement is false; thus it is provable, and our system is inconsistent
- ▶ or this statement is true; thus it is unprovable, and our system is incomplete

## Second incompleteness theorem

No logical system can prove its own consistency.



# Overview

Reminder: Rules

Contents

Proof tactics

Properties

Consistency of the system

Conclusion

# Today

- ▶ First-order Natural Deduction:
  - ▶ Tactics
  - ▶ Consistency

# Overview of the Semester

- ▶ Propositional logic
- ▶ Propositional resolution
- ▶ Propositional natural deduction

## MID-TERM EXAM

- ▶ First-order logic
- ▶ Basis for the automated deduction (“first-order resolution”)
- ▶ First-order natural deduction

## EXAM